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Name.....

Reg. No.....

**FIFTH SEMESTER B.Com. DEGREE [SUPPLEMENTARY]  
EXAMINATION, NOVEMBER 2017**

(CCSS-SDE)

B.Com. (Finance)

**BC 5B 10 (F) – INDIAN FINANCIAL SYSTEM**

Time : Two Hours and Forty-five Minutes

Maximum : 27 Weightage

*Answers should be written only in English.*

**Part B**

**SECTION A**

*Answer all the nine questions in one or two sentences each.*

*Each question carries a weightage of 1.*

1. Define Financial System.
2. What are Non-banking institutions?
3. What is Call Money Market?
4. Define Capital Market.
5. What is Right issue?
6. Define Stock Exchange.
7. What is dematerialisation?
8. What is credit creation?
9. What is REPO?

(9 × 1 = 9 weightage)

**SECTION B**

*Answer any five questions in not more than one page each.*

*Each question carries a weightage of 2.*

10. What are the functions of Financial System?
11. What are the characteristics of financial instruments?
12. What are the main components of money market?
13. What are the differences between money market and capital market?
14. What are the functions of Primary Market?

**Turn over**

15. What is speculation? Who are the major speculators in the Stock market?
16. What are the major objectives of SEBI?

(5 × 2 = 10 weightage)

### SECTION C

**Answer any two questions in not more than four pages.**

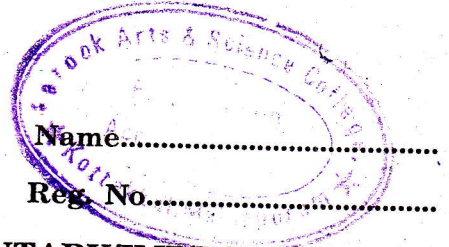
**Each question carries a weightage of 4.**

17. Explain the Growth and Development of Indian Financial System.
18. What is Merchant banking? What are the functions of Merchant Bankers?
19. What are the functions of Reserve Bank of India?

(2 × 4 = 8 weightage)

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**FIFTH SEMESTER B.Com. DEGREE [SUPPLEMENTARY/IMPROVEMENT]  
(UG—CCSS) EXAMINATION, NOVEMBER 2016**

Specialization (Finance)

BC 5B 10 (F)—INDIAN FINANCIAL SYSTEM

Time : Two Hours and Forty-Five Minutes

Maximum : 27 Weightage

*Answers should be written only in English.*

**Part B**

**SECTION A**

I. Answer *all nine* questions in one or two sentences each. Each question carries a weightage of 1 :

- 1 What is an economic system ?
- 2 What are derivative markets ?
- 3 Define Money Market.
- 4 What is New Issues Market ?
- 5 What is Book Building ?
- 6 What is Rolling Settlement ?
- 7 What is a Depository ?
- 8 What is Bank Rate ?
- 9 What are Commercial Papers ?

(9 × 1 = 9 weightage)

**SECTION B**

II. Answer any *five* questions in not more than *one page* each. Each question carries a weightage of 2 :

- 10 Explain the importance of financial system in economic development.
- 11 What are the main functions of financial markets ?
- 12 Who are the participants or players in the Call money market ?
- 13 What are the methods used for raising funds in the Primary Market ?

**Turn over**

- 14 What are the special features of Indian Capital Markets ?
- 15 What are the various speculative transactions in a stock market ?
- 16 What are the differences between Primary market and Secondary Market ?

(5 × 2 = 10 weightage)

### SECTION C

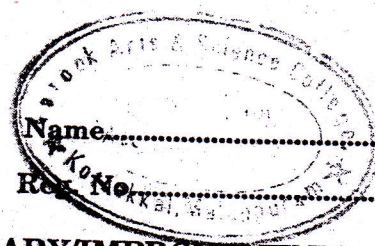
III. Answer any *two* questions in not more than *four pages*. Each question carries a weightage of 4 :

- 17 Explain the objectives of Listing of Securities. Describe the general procedure for listing securities in a stock exchange.
- 18 What is the role and reforms made by SEBI in the Primary and Secondary market ?
- 19 What is a Non-Banking Financial Corporation? Explain briefly the major services provided by NBFCs.

(2 × 4 = 8 weightage)

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**FIFTH SEMESTER B.Com. DEGREE (SUPPLEMENTARY/IMPROVEMENT)  
EXAMINATION, NOVEMBER 2016**

(UG-CCSS)

**BC 5B 10—INDIAN FINANCIAL SYSTEM**

Time : Three Hours

Maximum : 30 Weightage

**Part A**

*Answer all twelve questions.  
Each question carries  $\frac{1}{4}$  weightage.*

**I. Fill in the blanks :**

- 1 Primary market deals with \_\_\_\_\_ securities.
- 2 \_\_\_\_\_ is the link between the depository and the owner.
- 3 IDBI was established in the year \_\_\_\_\_.
- 4 \_\_\_\_\_ assets are those which can be easily transferred from one person to another without much hindrance.

**II. Match the following :—**

- |                     |                                  |
|---------------------|----------------------------------|
| 5 Regulatory Agency | (a) Acts according to rules.     |
| 6 Organised market  | (b) SEBI.                        |
| 7 Ringless Trading  | (c) OTC.                         |
| 8 Kerb Deals        | (d) Trading after closing hours. |

**III. State True or False :**

- 9 Underwriting is a guarantee for marketability of shares.
- 10 Certificate of deposits can be issued only by commercial banks.
- 11 The most liquid financial market is the call money market.
- 12 Loan syndication is a kind of consortium advance.

(12  $\times$   $\frac{1}{4}$  = 3 weightage)

**Part B .**

*Answer all the following questions in one or two sentences each.*

*Each question carries a weightage of 1.*

*Answer all questions.*

- 13 What is a Bond Market ?
- 14 What is a Commercial Paper ?

**Turn over**

- 15 What is insider trading ?
- 16 Name the regulatory institutions of financial system.
- 17 Expand DFHL.
- 18 What is a primary market ?
- 19 Who is a Lame Duck ?
- 20 What is Rematerialisation ?
- 21 Define financial system.

(9 × 1 = 9 weightage)

### Part C

*Answer any five questions in one or two paragraphs each.  
Each question carries a weightage of 2.*

- 22 Describe the functions of financial system.
- 23 Explain the role of RBI in the Indian Financial System.
- 24 Mention the characteristics of a Developed Money Market.
- 25 Point out the features of T-Bills.
- 26 Discuss the benefits of Listing of Securities.
- 27 What are the secondary functions of a Commercial Bank ?
- 28 Examine the SEBI guidelines for secondary market.

(5 × 2 = 10 weightage)

### Part D

*Answer any two questions.  
Each question carries a weightage of 4.*

- 29 Explain the methods of floating funds in the new issue market.
- 30 Describe the functions of a stock exchange.
- 31 Discuss the components of Indian Financial System with features of each component.

(2 × 4 = 8 weightage)