

D 10045

(Pages : 3)

Name.....

Reg. No.....

FIFTH SEMESTER U.G. DEGREE EXAMINATION, NOVEMBER 2021

(CUCBCSS—UG)

B.B.A.

BBA VB 10—FINANCE SPECIALIZATION I—INDIAN FINANCIAL SYSTEM

(2014—2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

Part I (Objective Questions)*Answer all ten questions.*

Choose the correct answer :

1. Money market consist of :
 - a) Treasury bill market.
 - b) Commercial bill market.
 - c) Call money market.
 - d) All of the above.
2. The process of managing the sales ledger of a client by a financial service company is called _____.
 - a) Forfeiting.
 - b) Factoring.
 - c) Leasing
 - d) None of these.
3. AMBI stands for :
 - a) Association of Management of Bank in India.
 - b) Association of Merchant Banks in India.
 - c) Association of Modern Banks in India.
 - d) Automated Mechanism for Borrowing and Investments.
4. Which of the following is a tripartite lease arrangement ?
 - a) Financial lease.
 - b) Operating lease.
 - c) Leverage lease.
 - d) Sale and lease back.
5. In life insurance, installment policy is also known as _____.
 - a) Annuity policy.
 - b) Endowment policy.
 - c) Whole life policy.
 - d) Lump sum policy.

Turn over

Fill in the blanks :

6. _____ is a whole sale market for short term debt instrument.
7. Capital issue management is a _____ based service among financial services.
8. The merchant banker coordinating a public issue is called _____.
9. _____ is process of converting security in electric form into physical form.
10. _____ speculators are optimistic in nature.

(10 × 1 = 10 marks)

Part II (Short Answer Questions)

Answer any **eight** out of the ten questions in two **or** three sentences.

Each question carries 2 marks.

11. Explain the term wet lease.
12. What is certificate of deposit ?
13. What do you mean by recourse factoring ?
14. What is hire purchase finance ?
15. What is stock broking ?
16. What do you mean by depository ?
17. What is an open-ended scheme in mutual fund ?
18. Name any *four* global credit rating agencies.
19. What is an income fund ?
20. Explain principle of causa proxima.

(8 × 2 = 16 marks)

Part III (Short Essay Questions)

Answer any **six** out of the eight questions in about 200 words.

Each question carries 4 marks.

21. Explain the role of financial system in the economic development of a country.
22. List out the advantages of leasing.
23. What are the various types of credit cards ?

24. Give a brief profile of CRISIL.
25. List out the functions performed by stock exchanges.
26. Explain the types of factoring.
27. What are the different methods of raising fund from primary market ?
28. Leasing is not suitable for all kinds of equipment's or industries. Explain.

(6 × 4 = 24 marks)

Part IV (Essay Questions)

Answer any two out of the three questions in about 800 words.

29. What is a financial service ? Discuss the various types of financial services.
30. Explain credit rating. Describe the credit rating agencies in India.
31. Discuss the reform that has taken place in Indian capital market since 1990.

(2 × 15 = 30 marks)

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(Pages : 2)

Name.....

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**FIFTH SEMESTER B.Com. DEGREE [SUPPLEMENTARY]
EXAMINATION, NOVEMBER 2017**

(CCSS-SDE)

B.Com. (Finance)

BC 5B 10 (F) – INDIAN FINANCIAL SYSTEM

Time : Two Hours and Forty-five Minutes

Maximum : 27 Weightage

Answers should be written only in English.

Part B

SECTION A

Answer all the nine questions in one or two sentences each.

Each question carries a weightage of 1.

1. Define Financial System.
2. What are Non-banking institutions?
3. What is Call Money Market?
4. Define Capital Market.
5. What is Right issue?
6. Define Stock Exchange.
7. What is dematerialisation?
8. What is credit creation?
9. What is REPO?

(9 × 1 = 9 weightage)

SECTION B

Answer any five questions in not more than one page each.

Each question carries a weightage of 2.

10. What are the functions of Financial System?
11. What are the characteristics of financial instruments?
12. What are the main components of money market?
13. What are the differences between money market and capital market?
14. What are the functions of Primary Market?

Turn over

15. What is speculation? Who are the major speculators in the Stock market?
16. What are the major objectives of SEBI?

(5 × 2 = 10 weightage)

SECTION C

Answer any two questions in not more than four pages.

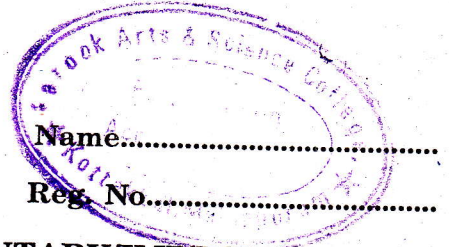
Each question carries a weightage of 4.

17. Explain the Growth and Development of Indian Financial System.
18. What is Merchant banking? What are the functions of Merchant Bankers?
19. What are the functions of Reserve Bank of India?

(2 × 4 = 8 weightage)

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(Pages : 2)



**FIFTH SEMESTER B.Com. DEGREE [SUPPLEMENTARY/IMPROVEMENT]
(UG—CCSS) EXAMINATION, NOVEMBER 2016**

Specialization (Finance)

BC 5B 10 (F)—INDIAN FINANCIAL SYSTEM

Time : Two Hours and Forty-Five Minutes

Maximum : 27 Weightage

Answers should be written only in English.

Part B

SECTION A

I. Answer *all nine* questions in one or two sentences each. Each question carries a weightage of 1 :

- 1 What is an economic system ?
- 2 What are derivative markets ?
- 3 Define Money Market.
- 4 What is New Issues Market ?
- 5 What is Book Building ?
- 6 What is Rolling Settlement ?
- 7 What is a Depository ?
- 8 What is Bank Rate ?
- 9 What are Commercial Papers ?

(9 × 1 = 9 weightage)

SECTION B

II. Answer any *five* questions in not more than *one page* each. Each question carries a weightage of 2 :

- 10 Explain the importance of financial system in economic development.
- 11 What are the main functions of financial markets ?
- 12 Who are the participants or players in the Call money market ?
- 13 What are the methods used for raising funds in the Primary Market ?

Turn over

- 14 What are the special features of Indian Capital Markets ?
- 15 What are the various speculative transactions in a stock market ?
- 16 What are the differences between Primary market and Secondary Market ?

(5 × 2 = 10 weightage)

SECTION C

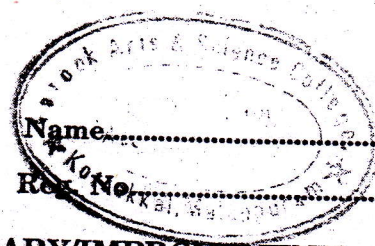
III. Answer any *two* questions in not more than *four pages*. Each question carries a weightage of 4 :

- 17 Explain the objectives of Listing of Securities. Describe the general procedure for listing securities in a stock exchange.
- 18 What is the role and reforms made by SEBI in the Primary and Secondary market ?
- 19 What is a Non-Banking Financial Corporation? Explain briefly the major services provided by NBFCs.

(2 × 4 = 8 weightage)

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(Pages : 2)



**FIFTH SEMESTER B.Com. DEGREE (SUPPLEMENTARY/IMPROVEMENT)
EXAMINATION, NOVEMBER 2016**

(UG-CCSS)

BC 5B 10—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 30 Weightage

Part A

Answer all twelve questions.

Each question carries $\frac{1}{4}$ weightage.

I. Fill in the blanks :

- 1 Primary market deals with _____ securities.
- 2 _____ is the link between the depository and the owner.
- 3 IDBI was established in the year _____.
- 4 _____ assets are those which can be easily transferred from one person to another without much hindrance.

II. Match the following :—

- | | |
|---------------------|----------------------------------|
| 5 Regulatory Agency | (a) Acts according to rules. |
| 6 Organised market | (b) SEBI. |
| 7 Ringless Trading | (c) OTC. |
| 8 Kerb Deals | (d) Trading after closing hours. |

III. State True or False :

- 9 Underwriting is a guarantee for marketability of shares.
- 10 Certificate of deposits can be issued only by commercial banks.
- 11 The most liquid financial market is the call money market.
- 12 Loan syndication is a kind of consortium advance.

(12 \times $\frac{1}{4}$ = 3 weightage)

Part B .

Answer all the following questions in one or two sentences each.

Each question carries a weightage of 1.

Answer all questions.

- 13 What is a Bond Market ?
- 14 What is a Commercial Paper ?

Turn over

- 15 What is insider trading ?
- 16 Name the regulatory institutions of financial system.
- 17 Expand DFHL.
- 18 What is a primary market ?
- 19 Who is a Lame Duck ?
- 20 What is Rematerialisation ?
- 21 Define financial system.

(9 × 1 = 9 weightage)

Part C

*Answer any five questions in one or two paragraphs each.
Each question carries a weightage of 2.*

- 22 Describe the functions of financial system.
- 23 Explain the role of RBI in the Indian Financial System.
- 24 Mention the characteristics of a Developed Money Market.
- 25 Point out the features of T-Bills.
- 26 Discuss the benefits of Listing of Securities.
- 27 What are the secondary functions of a Commercial Bank ?
- 28 Examine the SEBI guidelines for secondary market.

(5 × 2 = 10 weightage)

Part D

*Answer any two questions.
Each question carries a weightage of 4.*

- 29 Explain the methods of floating funds in the new issue market.
- 30 Describe the functions of a stock exchange.
- 31 Discuss the components of Indian Financial System with features of each component.

(2 × 4 = 8 weightage)

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(Pages : 2)

Name.....

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FIFTH SEMESTER B.Com. DEGREE EXAMINATION, NOVEMBER 2014

(UG-CCSS)

Core Course

BC 5B 10—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum Weightage : 30

Part A

Answer all twelve questions.

I. Fill in the blanks :

- 1 The market for new issue is called. _____ market.
- 2 _____ is the suitable method where small companies issue shares.
- 3 _____ is the link between the depository and the owner.
- 4 RBI is the lender of last resort for _____.

II. Match the following :

A

B

- | | |
|--------------------------------------|--------------------|
| 5 Market regulator of capital market | (a) RBI. |
| 6 Not require acceptance | (b) Mutual funds. |
| 7 UTI | (c) SEBI. |
| 8 ICRA | (d) T. Bills. |
| | (e) Credit rating. |

III. State True or False :

- 9 Term lending institutions are money market intermediaries.
- 10 A company which sets up a mutual fund is called a sponsor.
- 11 LIC was established in 1956.
- 12 Government bond is a long term security.

(12 × ¼ = 3 weightage)

Part B

Answer all questions in one or two sentences each.

Each question carries a weightage of 1.

- 13 What are financial assets ?
- 14 Give the meaning of acceptance market.

Turn over

- 15 What is a certificate of deposit ?
- 16 What are zero interest bonds ?
- 17 Who are bears in the secondary market ?
- 18 What is dematerialisation ?
- 19 Define Listing.
- 20 What is a swap market ?
- 21 What is DFHIL ?

(9 × 1 = 9 weightage)

Part C

*Answer any five questions in one or two paragraph each.
Each question carries a weightage of 2.*

- 22 "In spite of suitable measures, Indian Financial System remains weak." Comment on the weakness.
- 23 Distinguish between Money market and Capital market.
- 24 Explain the objectives of NSE.
- 25 Examine the functions of IDBI.
- 26 Point out the SEBI guidelines for primary market.
- 27 List the features of a commercial paper.
- 28 Write a note on the method of trading on a Stock Exchange.

(5 × 2 = 10 weightage)

Part D

*Answer any two questions.
Each question carries a weightage of 4.*

- 29 What do you mean by Financial system ? Discuss the role of financial system in the economic development of a Country.
- 30 "A merchant banker performs a number of valuable functions." Discuss.
- 31 Describe the objectives, functions and powers of SEBI.

(2 × 4 = 8 weightage)

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**FIFTH SEMESTER B.Com. DEGREE (UG-CCSS)
EXAMINATION, NOVEMBER 2014**

(SDE)

Core Course

BC 5B 10 (F)—INDIAN FINANCIAL SYSTEM

Time : Two Hours and Forty-five Minutes

Maximum : 27 Weightage

Answers should be written only in English.

Part B

SECTION A

I. Answer *all* nine questions in one or two sentences each. Each question carries a weightage of 1 :

- 1 What do you understand by Financial System ?
- 2 Define Financial Services.
- 3 What is meant by Financial Inclusion ?
- 4 Define Money Market.
- 5 What are Commercial Papers ?
- 6 What is a Secondary market ?
- 7 Who is a Merchant Banker ?
- 8 What is meant by Bank rate ?
- 9 Name any four general utility services offered by commercial banks.

(9 × 1 = 9 weightage)

SECTION B

II. Answer any *five* questions in not more than *one* page each. Each question carries a weightage of 2 :

- 10 Discuss the basic features of financial instruments.
- 11 What are the defects of Indian Money Market ?
- 12 Discuss briefly the functions of a Primary Market.
- 13 What are preference shares ? State its types and advantages over equity shares.
- 14 What are the functions performed by stock exchanges ?
- 15 Discuss briefly the primary functions of commercial banks.
- 16 Write a brief note on the functions of SEBI.

(5 × 2 = 10 weightage)

Turn over

SECTION C

III. Answer any *two* questions in not more than *four* pages. Each question carries a weightage of 4 :

- 17 Define Mutual Funds. Explain the types of mutual funds, which are operating in India.
- 18 Explain the function of RBI.
- 19 Explain the procedures to be followed at stock exchanges for buying and selling securities.

(2 x 4 = 8 weightage)