

D 50047

(Pages : 3)

Name.....

Reg. No.....

**FIFTH SEMESTER (CUCBCSS—UG) DEGREE EXAMINATION  
NOVEMBER 2023**

B.B.A.

BBA VB 11 FINANCE SPECIALIZATION II—INVESTMENT MANAGEMENT

(2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

**Part I (Objective Questions)***Answer all **ten** questions.**Each question carries 1 mark.*

1. Choosing stocks by searching for predictable patterns in stock prices is called \_\_\_\_\_.
  - (a) Fundamental Analysis.
  - (b) Technical Analysis.
  - (c) Index Management.
  - (d) Random Walk Investment.
2. Market risk is best measured by the \_\_\_\_\_.
  - (a) Alpha.
  - (b) Beta.
  - (c) Standard deviation.
  - (d) Co-efficient of variation.
3. Diversification reduces \_\_\_\_\_ risk.
  - (a) Interest rate risk.
  - (b) Market risk.
  - (c) Unique risk.
  - (d) Inflation risk.
4. NIFTY is the index of \_\_\_\_\_.
  - (a) BSE.
  - (b) NSE.
  - (c) CSE.
  - (d) None of the Above.
5. The rate of return required by investors in the market for owning a bond is called the \_\_\_\_\_.
  - (a) Coupon Rate.
  - (b) Dividend.
  - (c) Profit.
  - (d) Yield to Maturity.

**Turn over**

Fill in the blanks :

6. \_\_\_\_\_ mutual funds have a portfolio mix of bonds, preferred stocks and common stocks.
7. \_\_\_\_\_ give the buyer the right but not the obligation to buy a given quantity of the underlying asset, at a given price on or before a given future date.
8. \_\_\_\_\_ market refers to a market where securities are traded after being initially offered to the public in the primary market.
9. IPO stands for \_\_\_\_\_.
10. A bond giving the investor the option to convert the bond into equity at a fixed conversion price is called \_\_\_\_\_.

(10 × 1 = 10 marks)

### Part II (Short Answer Questions)

Answer any **eight** out of ten questions in two **or** three sentences.

*Each question carries 2 marks.*

11. What is meant by scripless trading ?
12. What do you mean by dematerialisation ?
13. What do you mean by open-ended and closed-ended mutual fund schemes ?
14. What is speculation ?
15. What do you mean by a portfolio ?
16. What are preference shares ?
17. What is systematic and unsystematic risk ?
18. What do you mean by hedging ?
19. Expand OTCEI and UTI.
20. What do you mean by investment analysis ?

(8 × 2 = 16 marks)

**Part III (Short Essay Questions)**

Answer any **six** out of eight questions in about 200 words.

Each question carries 4 marks.

21. Write a note on the role of AMFI.
22. Distinguish between investment and gambling.
23. Briefly explain the steps involved in the investment process.
24. Explain how a primary market is different from a secondary market.
25. List out any four advantages and limitations of mutual funds.
26. Write a note on the National Stock Exchange of India.
27. Distinguish between ETFs and mutual funds.
28. List out any four strengths or advantages as well as four weaknesses or criticisms of Technical Analysis.

(6 × 4 = 24 marks)

**Part IV (Essay Questions)**

Answer any **two** out of the three questions in about 800 words.

Each question carries 15 marks.

29. What do you mean by derivatives ? What are the classifications or types of derivatives ?
30. Define investment. Explain the different avenues of investment available for investors.
31. What do you mean by a capital market ? Explain the various functions of the capital market.

(2 × 15 = 30 marks)

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Name.....

Reg. No.....

**FIFTH SEMESTER (CUCBCSS—UG) DEGREE EXAMINATION  
NOVEMBER 2022**

B.B.A.

BBA VB 11—FINANCE SPECIALIZATION—II—INVESTMENT MANAGEMENT

(2017—2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

**Part I (Objective Questions)***Answer all ten questions.**Each question carries 1 mark.*

1. Variance calculation and measuring the Standard deviation is one way of measuring the \_\_\_\_\_.  
 (a) Risk. (b) Return.  
 (c) Profit. (d) None of the Above.
2. Horse racing, game of cards, and lottery are typical examples of \_\_\_\_\_.  
 (a) Investment. (b) Gambling.  
 (c) Speculation. (d) Hedging.
3. The coupon rate is another name for the \_\_\_\_\_.  
 (a) Current Yield. (b) Yield To Maturity.  
 (c) Dividend. (d) Market Interest Rate.
4. OTC stands for \_\_\_\_\_.  
 (a) Offer To The Centre. (b) Over The Counter.  
 (c) Over To Corporation. (d) Over The Company.
5. “Not putting all your eggs in one basket” means \_\_\_\_\_.  
 (a) Gambling. (b) Diversification.  
 (c) Speculation. (d) Arbitrage.

Fill in the blanks :

6. \_\_\_\_\_ is the term used to convert electronic holdings back to certificates.
7. The mutual fund industry in India started in 1963 with the formation of \_\_\_\_\_.

**Turn over**

8. \_\_\_\_\_ is a unique investment tool that combines the features of both mutual funds and individual stocks.
9. A \_\_\_\_\_ is an agreement between two parties to buy or sell an asset at a certain time in future at a certain price.
10. A \_\_\_\_\_ is an agent of the depository through which it interfaces with the investor and provides depository services.

(10 × 1 = 10 marks)

### Part II (Short Answer Questions)

Answer any **eight** out of ten questions in two **or** three sentences.  
Each question carries 2 marks.

11. Define Investment.
12. What are Swaps ?
13. What do you mean by unsystematic risk ?
14. What do you mean by mutual funds ?
15. Expand NSDL and CDSL.
16. What is meant by the call option and put option ?
17. What are debentures ?
18. What are bonus shares and right shares ?
19. What is meant by a Zero-Coupon Bond ?
20. What is meant by a primary market and a secondary market ?

(8 × 2 = 16 marks)

### Part III (Short Essay Questions)

Answer any **six** out of eight questions in about 200 words.  
Each question carries 4 marks.

21. What are the various characteristics or features of derivatives ?
22. List the differences between the money market and the capital market.
23. What do you mean by dematerialisation ? List out the benefits of having a Demat account.
24. List out the features of ETFs.

25. What do you mean by a forward contract ? List out the features of forward contracts.
26. Distinguish investment and speculation.
27. Explain the functions of the stock exchange.
28. What is technical analysis ? What are the three basic assumptions of the technical analysis ?

(6 × 4 = 24 marks)

#### **Part IV (Essay Questions)**

*Answer any two out of the three questions in about 800 words.  
Each question carries 15 marks.*

29. Explain the various investment objectives. What are the steps involved in the investment process ?
30. List the advantages and limitations of mutual funds. Also, explain the various categories or types of mutual fund schemes.
31. What is the role of SEBI in the financial markets ? List out the major functions of SEBI.

(2 × 15 = 30 marks)

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(Pages : 3)

Name.....

Reg. No.....

**FIFTH SEMESTER U.G. DEGREE EXAMINATION, NOVEMBER 2021**

(CUCBCSS-UG)

B.B.A.

BBA VB 11—FINANCE SPECIALIZATION-II—INVESTMENT MANAGEMENT

(2014 – 2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

**Part I***Answer all ten questions.*

1. CAGR means \_\_\_\_\_.
  - (a) Compound annual growth rate.
  - (b) Capital annual growth rate.
  - (c) Current asset growth rate.
  - (d) Current annual growth rate.
2. Uncontrollable risk are also called \_\_\_\_\_.
  - (a) Symmetric.
  - (b) Unsymmetric.
  - (c) Systematic.
  - (d) Unsystematic.
3. The price earning ratio of stock relates \_\_\_\_\_.
  - (a) Debenture holders.
  - (b) Bond.
  - (c) Call money market.
  - (d) Equity Shareholders.
4. \_\_\_\_\_ is the process of admitting securities for trading in a recognized stock exchange.
  - (a) Index.
  - (b) Cornering.
  - (c) Arbitrage.
  - (d) Listing.
5. Derivates which have no secondary market \_\_\_\_\_.
  - (a) Forward.
  - (b) Option.
  - (c) Future.
  - (d) Swaps.

Fill in the blanks :

6. An instrument which derives its value from an asset backing is called \_\_\_\_\_.
7. The NAV is the \_\_\_\_\_ value of each unit of a mutual fund.
8. New issue market deals with \_\_\_\_\_ securities.

**Turn over**

9. \_\_\_\_\_ is the artificial manipulation of prices of securities.
10. The SCHIL was set up in the year \_\_\_\_\_.

(10 × 1 = 10 marks)

**Part II (Short Answer Questions)**

*Answer any **eight** out of ten questions in two **or** three sentences.*

11. What is risk ?
12. What is investment analysis ?
13. What is systematic risk ?
14. What do you mean by futures ?
15. Who is a depository participant ?
16. What is commercial paper ?
17. What is treasury bills ?
18. What is REPO agreement ?
19. What is money market ?
20. What are the stages of portfolio management ?

(8 × 2 = 16 marks)

**Part III (Short Essay Questions)**

*Answer any **six** out of eight questions in about 200 words.*

21. Briefly explain the objectives of investment.
22. What are the basis assumptions of technical analysis ?
23. What are the functions of stock exchange ?
24. What are the features of Indian Money Market ?
25. Differentiate between options and swaps.
26. Differentiate between fundamental analysis and technical analysis.
27. Differentiate between open ended scheme and closed ended scheme.
28. What are the functions of SEBI ?

(6 × 4 = 24 marks)

**Part IV (Essay Questions)**

*Answer any **two** out of three questions in about 800 words.*

29. Explain the investment process.
30. What is fundamental analysis ? What are the steps in fundamental analysis ?
31. Briefly explain about participants in Indian Money Market.

(2 × 15 = 30 marks)

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(Pages : 3)

Name.....

Reg. No.....

**FIFTH SEMESTER B.Com./B.B.A. DEGREE EXAMINATION  
NOVEMBER 2020**

(CUCBCSS—UG)

B.B.A.

**BBA VB 11—FINANCE SPECIALIZATION II—INVESTMENT MANAGEMENT**

Maximum : 80 Marks

Time : Three Hours

**Part I**

*Answer all questions.  
Each question carries 1 mark.*

Choose the correct answer :

1. \_\_\_\_\_ are instruments which entitle the holder to buy an equity at concessional rate.
  - (a) Bonds.
  - (b) Coupon.
  - (c) Warrants.
  - (d) Flexi bond.
2. \_\_\_\_\_ is a promises to a shareholder to pay dividend at a future date.
  - (a) Scrip dividend.
  - (b) Bond dividend.
  - (c) Ex divid.
  - (d) Cum dividend.
3. \_\_\_\_\_ is a method of increasing the number of shares of outstanding.
  - (a) Surrender of shares.
  - (b) Consolidation of shares.
  - (c) Stock split.
  - (d) Reverse split.
4. Bill drawn for mutual indebtness is called \_\_\_\_\_.
  - (a) Commercial Bill.
  - (b) Accommodation Bill.
  - (c) Clean Bill.
  - (d) Supply Bill.
5. The device adopted to make profit out of the difference in price of a security in two diffrent market is called \_\_\_\_\_.
  - (a) Cornering.
  - (b) Arbitrage.

Fill in the blanks :

6. Under financial derivatives \_\_\_\_\_ are in the nature of long term agreements.
7. Discount and finance house of india was set up in the year \_\_\_\_\_.
8. \_\_\_\_\_ market is a market for dealing in unlisted securities.
9. An option which gives the holder the right to buy an underlying asset is called \_\_\_\_\_.
10. The market for already issued securities are called \_\_\_\_\_ market.

(10 × 1 = 10 marks)

**Part II (Short Answer Questions)**

*Answer at least five questions.  
Each question carries 4 marks.  
All questions can be attended.  
Overall Ceiling 20.*

11. What are the sources of risk ?
12. What is industry analysis ?
13. What are the basic assumptions of technical analysis ?
14. What is the importance of depositories in Indian capital market ?
15. What do you mean by "NEAT"?
16. What are the types of systematic risk ?
17. What is certificate of Deposit ?
18. Point out different money market instruments.
19. What is secondary market ?
20. What are Derivatives ?

(5 × 4 = 20 marks)

**Part III ( Short Essay Questioner)**

*Answer at least four questions.  
Each question carries 8 marks.  
All questions can be attended.  
Overall Ceiling 32.*

21. Explain the NSE's on-line trading system.
22. Differentiate between primary market and secondary market.

23. Who are the participants in Indian money market ?
24. Explain different stages of portfolio management.
25. Explain about fundamental analysis.
26. What are the features of Indian money market ?
27. Differentiate between futures and forwards.
28. Explain about commercial papers.

(4 × 8 = 32 marks)

**Part IV (Essay Questions)**

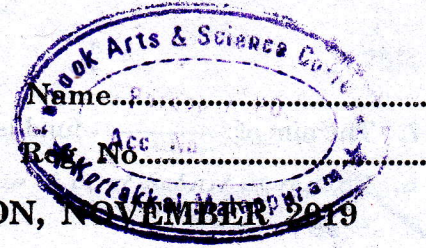
*Answer any one question.  
The question carries 18 marks.*

29. Explain the different investment avenues.
30. Explain the functions of stock exchange.
31. Briefly explain about the structure of mutual funds.

(1 × 18 = 18 marks)

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(Pages : 3)



FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2019

(CUCBCSS—UG)

B.B.A.

BBA VB 11—FINANCE SPECIALIZATION II—INVESTMENT MANAGEMENT

Time : Three Hours

Maximum : 80 Marks

**Part I (Objective Questions)**

*Answer all ten questions.*

*Each question carries 1 mark.*

Choose the correct answer :

1. These are instruments which give a fixed rate of interest for a fixed period of maturity :  
(a) Debt. (b) Equities.  
(c) Mutual Funds. (d) Virtual office.
2. These shares have preferential right to the payment of dividend and to the return of capital at the time of winding up of the company :  
(a) Equity share. (b) Preference share.  
(c) Bonus share. (d) None of the above.
3. Stock mutual fund is also called :  
(a) Open end fund. (b) Closed end fund.  
(c) Growth fund. (d) Equity fund.
4. Which of the following attempts to make profit anticipating changes in market prices or credit events by entering a derivative contract ?  
(a) Speculators. (b) Hedgers.  
(c) Arbitrageurs. (d) None of the above.
5. The variability in a security's returns resulting from fluctuations in the aggregate market is known as :  
(a) Market risk. (b) Interest rate risk.  
(c) Purchasing power risk. (d) Regulation risk.

Fill in the blanks :

6. \_\_\_\_\_ pools money from investors and invest in different securities.

Turn over

7. The aim of \_\_\_\_\_ fund is to provide regular and steady income to investors.
8. Exchange traded fund is an investment tool that combines the feature of mutual fund and \_\_\_\_\_.
9. \_\_\_\_\_ risk is considered un-diversifiable risk.
10. \_\_\_\_\_ mutual funds invest in bonds and other debt securities.

(10 × 1 = 10 marks)

### Part II (Short Answer Questions)

*Answer any eight out of the ten questions in two or three sentences.*

*Each question carries 2 marks.*

11. Define investment.
12. What is risk ?
13. Define mutual fund.
14. What is an open end mutual fund ?
15. What are equities ?
16. Give a brief account of the history of mutual fund.
17. What are the types of investment risk ?
18. Write a note on national stock exchange.
19. What is an option ?
20. What do you mean by measurement of risk ?

(8 × 2 = 16 marks)

### Part III (Short Essay Questions)

*Answer any six out of the eight questions in about 200 words.*

*Each question carries 4 marks.*

21. What are the different avenues of the investment ?
22. What are the advantages of exchange traded funds ?
23. What are the drawbacks of fundamental analysis ?
24. Who are the participants in the derivative market ?
25. What are the advantages of technical analysis ?
26. Distinguish between primary and secondary markets.

27. What are the sources of risk ?
28. What are the different types of preference shares ?

(6 × 4 = 24 marks)

**Part IV (Essay Questions)**

*Answer any two out of the three questions in about 800 words.*

*Each question carries 15 marks.*

29. What do you mean by capital market ? Discuss its objectives and functions.
30. What do you mean by securities and exchange board of India ? What are the functions of securities exchange board of India ?
31. What is investment ? Discuss the objectives of investment.

(2 × 15 = 30 marks)

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(Pages : 2)

Name.....

Reg. No.....

**FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2018**

(CUCBCSS—UG)

**BBA VB 11—FINANCE SPECIALIZATION II—INVESTMENT MANAGEMENT**

Time : Three Hours

Maximum : 80 Marks

**Part I (Objective Questions)**

*Answer all ten questions.*

*Choose the correct answer.*

*Each question carries 1 mark.*

1. An investor becomes the owner of a company to the extent of the capital invested by him in :  
(a) Debts. (b) Equities.  
(c) Mutual funds. (d) None of the above.
2. This is a market for medium and long term funds.  
(a) Money market. (b) Capital market.  
(c) Commodity market. (d) None of the above.
3. UTI was established in the year.  
(a) 1961. (b) 1964.  
(c) 1963. (d) None of the above.
4. Which of the following contracts are standardised and hence traded in stock exchanges ?  
(a) Forward contract. (b) Future contract.  
(c) Options. (d) None of the above.
5. The variability in security's returns resulting from changes in the level of interest rates is referred to as :  
(a) Market risk. (b) Interest rate risk.  
(c) Purchasing power risk. (d) Regulation risk.

Fill in the blanks :

6. \_\_\_\_\_ instruments are freely tradable and negotiable.
7. Exchange traded fund are essentially \_\_\_\_\_ funds that are traded on exchanges.
8. \_\_\_\_\_ risk is considered diversifiable risk.
9. \_\_\_\_\_ is a financial contract whose value is derived from the value of an underlying asset.
10. \_\_\_\_\_ contract is a type of derivatives contract which gives the buyer or holder of the contract the right but not the obligation to buy or sell the underlying asset.

(10 × 1 = 10 marks)

Turn over

**Part II (Short Answer Questions)**

*Answer any eight out of the ten questions in two or three sentences.  
Each question carries 2 marks.*

11. What is investment ?
12. What is systematic risk ?
13. What are closed end mutual funds ?
14. What do you mean by future contract ?
15. What are mutual funds ?
16. What is speculation ?
17. What is capital market ?
18. What is risk ?
19. What do you mean by technical analysis ?
20. What do you mean by on-line trading ?

(8 × 2 = 16 marks)

**Part III (Short Essay Questions)**

*Answer any six out of the eight questions in about 200 words.  
Each question carries 4 marks.*

21. Explain the characteristics of investment.
22. What are the difference between fundamental analysis and technical analysis ?
23. What are the features of primary market ?
24. What are the characteristics of equity shares ?
25. Explain the different uses of derivatives.
26. What are the investment alternatives available for investing ?
27. What are the features of capital market ?
28. What are the types of debentures ?

(6 × 4 = 24 marks)

**Part IV (Essay Questions)**

*Answer any two out of the three questions in about 800 words.  
Each question carries 15 marks.*

29. What are exchange traded funds? What are its salient features ?
30. Define stock exchange. What are the advantages of stock exchange ?
31. Define mutual fund. What are the problems of mutual fund in India ?

(2 × 15 = 30 marks)

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(Pages : 3)

Name.....

Reg. No.....

**FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2017**

(CUCBCSS—UG)

BBA VB 11—INVESTMENT MANAGEMENT

Time : Three Hours

Maximum : 80 Marks

**Part I (Objective Questions)**

*Answer all ten questions.*

Choose the correct answer :

1. Stock mutual fund is also called :

- (a) Open end fund. (b) Closed end fund.  
(c) Growth fund. (d) Equity fund.

2. An investor becomes the owner of a company to the extent of the capital invested by him in :

- (a) Debts (b) Equities.  
(c) Mutual funds. (d) None of the above.

3. Which of the following contracts are standardised and hence traded in stock exchanges ?

- (a) Forward contract. (b) Future contract.  
(c) Options. (d) None of the above.

4. Which of the following shares are issued to existing shareholders when the company wishes to raise further capital ?

- (a) Sweet equity. (b) Right issue.  
(c) Bonus shares. (d) None of the above.

5. This pools money from investors and invest in different securities :

- (a) Debts. (b) Equities.  
(c) Mutual funds. (d) Virtual office.

Fill in the blanks :

6. Exchange traded fund is an investment tool that combines the feature of mutual fund and \_\_\_\_\_.

**Turn over**

7. \_\_\_\_\_ instruments are freely tradable and negotiable.
8. \_\_\_\_\_ is a financial contract whose value is derived from the value of an underlying asset.
9. \_\_\_\_\_ are a set of shares or debentures that make up one unit of exchange traded fund.
10. In \_\_\_\_\_ derivatives underlying assets can be commodities.

(10 × 1 = 10 marks)

### Part II (Short Answer Questions)

*Answer any eight out of the ten questions in two or three sentences.*

11. Define mutual fund.
12. Give a brief account of the history of mutual fund.
13. What is an option ?
14. What is systematic risk ?
15. What is speculation ?
16. What is risk ?
17. What are debts ?
18. What are the advantages of fundamental analysis ?
19. What do you mean by preference shares ?
20. What do you mean by hedging ?

(8 × 2 = 16 marks)

### Part III (Short Essay Questions)

*Answer any six out of the eight questions in about 200 words.*

21. What are the drawbacks of fundamental analysis ?
22. Distinguish between primary and secondary markets.
23. Explain the characteristics of investment.
24. What are the characteristics of equity shares ?
25. What are the features of capital market ?

26. What are the different types of risk ?
27. What are the criticisms raised against technical analysis ?
28. Discuss the different types of bonds.

(6 × 4 = 24 marks)

**Part IV (Essay Questions)**

*Answer any two out of the three questions in about 800 words.*

29. Define stock exchange. What are the advantages of stock exchange ?
30. Define investment. Discuss the important steps in an investment decision process.
31. What do you mean by Securities and Exchange Board of India ? Mention its important functions of Securities Exchange Board of India ?

(2 × 15 = 30 marks)

C 1733

(Pages : 3)

Name.....

Reg. No.....

**SIXTH SEMESTER B.B.A. DEGREE EXAMINATION, MARCH/APRIL 2016**

(UG—CCSS)

Core Course

**BB VI B13—INVESTMENT MANAGEMENT**

Time : Three Hours

Maximum : 30 Weightage

**Part A**

*This Part contains three bunches of questions carrying equal weightage.  
Each bunch has four questions.  
Answer all twelve questions.*

**A. Fill in the blanks :**

- 1 \_\_\_\_\_ involves sales of securities to members of the public.
- 2 \_\_\_\_\_ refers to the price at which an investor is willing to buy the security.
- 3 The market of new issues is called \_\_\_\_\_ market.
- 4 Depository system reduces time for \_\_\_\_\_ of securities.

**B. Choose the correct answer from the bracket :**

**5 Government bond is a :**

- (a) Short-term security.
- (b) Long-term security.
- (c) Medium term security.
- (d) Either short term or long term security.

**6 The market for short-term loans is known as :**

- (a) Call money market.
- (b) Discount market.
- (c) Acceptance market.
- (d) Commercial bill market.

**7 A combination of forwards by two counterparties with opposite but matching needs is called :**

- (a) Swap.
- (b) Option.
- (c) Forward.
- (d) Future.

**Turn over**

8 The best suited fund to the business people is :

- (a) Income fund. (b) Balanced fund.  
(c) Growth fund. (d) Taxation fund.

C. Answer in one word :

- 9 Associations of brokers and dealers in securities who transact business together :  
10 The exchange which was incorporated in India in 1992 :  
11 The price specified in the forward contract :  
12 The promissory note issued by the Government is called :

(12 × ¼ = 3 weightage)

### Part B

*Answer all nine questions in one or two sentences each.*

*Each question carries a weightage of 1.*

- 13 What is OCTEI ?  
14 What is speculation ?  
15 What is stock market index ?  
16 What is meant by risk ?  
17 What is Technical analysis ?  
18 What are financial derivatives ?  
19 What is put option ?  
20 What is stock dividend ?  
21 What is unsystematic risk ?

(9 × 1 = 9 weightage)

### Part C

*Answer any five questions.*

*Answer not to exceed one page each.*

*Each question carries a weightage of 2.*

- 22 Distinguish between Equity and Debt instruments.  
23 What is meant by listing of securities ?  
24 What is the role of SEBI in the securities market ?

- 25 "Holding securities in demat form has several advantages". Discuss.
- 26 Explain the concepts of systematic risk.
- 27 "Fundamental analysis provides an analytical framework for rational investment decision making" Explain.
- 28 Describe the features of future contracts.

(5 × 2 = 10 weightage)

**Part D**

*Answer any two questions.*

*Each question carries a weightage of 4.*

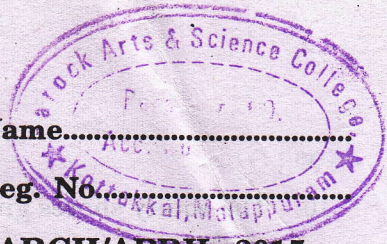
- 29 Discuss the role of NSE in reforming the stock market in India.
- 30 "Investing in securities through mutual funds is better choice than direct investment". Examine the Statement.
- 31 Discuss the features of a developed money market and bring out the importance.

(2 × 4 = 8 weightage)

C 80016

(Pages : 3)

Name.....  
Reg. No.....



**SIXTH SEMESTER B.B.A. DEGREE EXAMINATION, MARCH/APRIL 2015**

(UG-CCSS)

Core Course

**BB VI B13—INVESTMENT MANAGEMENT**

Time : Three Hours

Maximum : 30 Weightage

**Part A**

*This Part contains three bunches of questions carrying equal weightage.  
Each bunch has four questions.  
Answer all twelve questions.*

**A. Fill in the blanks :**

1. \_\_\_\_\_ are also known as gilt edged securities.
2. The call rate is a good indicator of \_\_\_\_\_ position of the economy.
3. Derivatives derive their value from \_\_\_\_\_ assets.
4. SENSEX is the index of \_\_\_\_\_.

**B. Choose the correct answer from the bracket :**

5. OTCEI was established in \_\_\_\_\_.  
(a) 1988. (b) 1990.  
(c) 1991. (d) 1992.
6. Money market deals with :  
(a) Short term funds. (b) Long Term funds.  
(c) Ownership Capital. (d) Credit rating.
7. Futures and options are :  
(a) Derivatives. (b) Investors.  
(c) Money market instruments. (d) Lease agreements.
8. Systematic risk is also known as :  
(a) Unavoidable risk. (b) Avoidable risk.  
(c) Unique risk. (d) Financial Risk.

**C. Answer in one word :**

9. The funds that can sell and purchase units at any time.

**Turn over**

10. The equity shares given to the company's employees on favourable terms, in recognition of their work.
11. The first Mutual fund Scheme in India.
12. The agreement between two parties to undertake an exchange at an agreed future date at a price agreed now.

(12 × ¼ = 3 weightage)

### Part B

*Answer all nine questions in one or two sentences each.  
Each question carries a weightage of 1.*

13. What do you mean by Leverage fund ?
14. What are Debentures ?
15. What is a Swap ?
16. What is a depository ?
17. What is Put option ?
18. What is Investment ?
19. What are mutual funds ?
20. What are financial markets ?
21. What is money at call ?

(9 × 1 = 9 weightage)

### Part C

*Answer any five questions.  
Answer not to exceed one page each.  
Each question carries a weightage of 2.*

22. Write notes on Fundamental analysis.
23. What are the major capital market instruments ?
24. What are the different types of speculators ?
25. Explain the salient features of OCTEL.
26. What are the functions of financial intermediaries ?
27. What are the defects of Indian Money Market ?
28. Explain the features of options.

(5 × 2 = 10 weightage)

**Part D**

*Answer any two questions.  
Each question carries a weightage of 4.*

29. What are the general powers of SEBI ?
30. What are the components of Indian money market ?
31. Write a detailed note on the working and performance of mutual funds in India.

(2 × 4 = 8 weightage)