

D 30040

(Pages : 3)

Name.....

Reg. No.....

**FIFTH SEMESTER (CUCBCSS—UG) DEGREE EXAMINATION
NOVEMBER 2022**

B.B.A.

BBA VB 10—FINANCE SPECIALIZATION I—INDIAN FINANCIAL SYSTEM

(2017—2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

Part I (Objective Questions)*Answer all ten questions.**Each question carries 1 mark.*

1. Which among the following is not a money market instrument ?
 - (a) Treasury Bill.
 - (b) Commercial Paper.
 - (c) Certificate of Deposit.
 - (d) Debentures.
2. Stock exchange is known as _____ market for securities.
 - (a) Primary market.
 - (b) Secondary market.
 - (c) Capital market.
 - (d) None of the above.
3. Who issues a Treasury Bill ?
 - (a) Any nationalised bank.
 - (b) Any private sector bank.
 - (c) Reserve Bank of India.
 - (d) All of the above.
4. _____ acts like a bank and keeps securities in electronic form on behalf of the investor.
 - (a) Depository Participant.
 - (b) Depository.
 - (c) Stock exchange.
 - (d) None of the above.
5. The value of one unit of investment in a Mutual fund is called the _____.
 - (a) Net Asset Value.
 - (b) Issue value.
 - (c) Market value.
 - (d) Gross Asset value.

Turn over

Fill in the blanks :

6. _____ lease agreement gives to the lessee only a limited right to use the asset.
7. In India the first organized stock exchange was _____.
8. Rolling settlement system was introduced by in _____ January 1998.
9. If the policy is to insure the subject matter for a definite period of time, it is called _____.
10. _____ is defined as a process of transformation of an illiquid asset into security which may be traded later in the opening market.

(10 × 1 = 10 marks)

Part II (Short Answer Questions)

Answer any **eight** out of ten questions in two **or** three sentences.

Each question carries 2 marks.

11. What is meant by a credit card and a debit card ?
12. What do you mean by factoring ?
13. What do you mean by underwriting ?
14. What is meant by financial services ?
15. What is meant by loan syndication ?
16. What is a stock exchange ?
17. What is Leasing ?
18. What is meant by merchant banking ?
19. What do you mean by the principle of indemnity in insurance ?
20. What do you mean by venture capital ?

(8 × 2 = 16 marks)

Part III (Short Essay Questions)

Answer any **six** out of eight questions in about 200 words.

Each question carries 4 marks.

21. What are the types or classifications of leasing ?
22. What are the various weaknesses or defects of the Indian financial system ?
23. Distinguish between life insurance and general insurance.

24. List out the advantages or benefits of factoring.
25. What are the various features of Commercial Bills ?
26. Explain in detail any *four* economic functions of the Stock Exchange ?
27. What are the characteristics or features of credit cards ?
28. List out the differences between the money market and the capital market.

(6 × 4 = 24 marks)

Part IV (Essay Questions)

Answer any two out of the three questions in about 800 words.

Each question carries 15 marks.

29. What do you mean by credit rating ? Explain the various credit rating agencies in India.
30. What are the various functions of the money market ? Also, list out the characteristics or features of the money market.
31. List out the major functions of SEBI. Also, explain briefly how SEBI regulates Merchant banking in India.

(2 × 15 = 30 marks)

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Name.....

Reg. No.....

**FIFTH SEMESTER B.Com./B.B.A. DEGREE EXAMINATION
NOVEMBER 2020**

(CUCBCSS—UG)

B.B.A.

BBA VB 10—FINANCE SPECIALIZATION I—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 80 Marks

Section A (Objective Questions)

Answer all questions.

Each question carries 1 mark.

Choose the correct answer :

1. Market for extremely short period loan is called _____.

(a) Call money market.

(c) T-bill market.

(b) Money at short notice.

(d) G-sec market.

2. A type of lease that includes a third party, a lender, is called _____.

(a) Sale and leaseback.

(c) Leveraged lease.

(b) Direct leasing arrangement.

(d) Operating lease.

3. The central depository _____ the security on behalf of the investors.

(a) Hold.

(c) Both (a) and (b).

(b) Transfer.

(d) None of these.

4. Which of the following is not a credit rating agency ?

(a) CRISIL.

(c) CARE.

(b) ICRA.

(d) None of these.

5. In which year SEBI was established :

(a) 1988.

(c) 1991.

(b) 1985.

(d) 1990.

Turn over

Fill in the blanks :

6. Money market deals with _____ instruments.
7. _____ speculators are pessimistic in nature.
8. _____ regulate the insurance business in India.
9. NAV in mutual fund means _____.
10. _____ is the process of admitting securities for trading in recognized stock.

(10 × 1 = 10 marks)

Section B (Short Answer Questions)

Answer at least five questions.

Each question carries 4 marks.

All questions can be attended.

Overall ceiling 20.

11. Write a short note on treasury bill.
12. What is participating debenture ?
13. What do you mean by recourse factoring ?
14. List out any *two* advantages of leasing.
15. Explain the term dry lease.
16. Who is an underwriter ?
17. State the meaning of credit rating.
18. What is operating lease ?
19. Marine Insurance is a contract of indemnity. Explain.
20. Differentiate between credit card and debit card.

(5 × 4 = 20 marks)

Section C (Short Essay Questions)

Answer at least four questions.

Each question carries 8 marks.

All questions can be attended.

Overall ceiling 32.

21. Distinguish between primary market and stock exchange.
22. What are the characteristics of financial services ?
23. Briefly explain SEBI regulations on merchant banker (applicant requirements).
24. Write a short note on credit rating agencies in India.
25. What are the basic principles of insurance ?
26. Discuss the role of insurance in economic growth.
27. What are the funds based services ?
28. List out different types of leasing.

(4 × 8 = 32 marks)

Section D (Essay Questions)

Answer any one question.

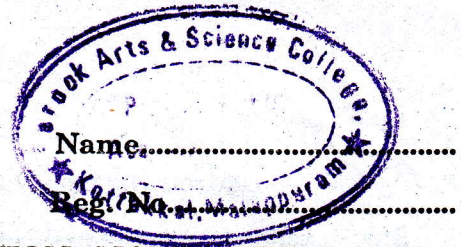
The question carries 18 marks.

29. What are the sub-markets of Indian Money markets ?
30. What is factoring ? Explain the types and mechanism of factoring.
31. What is credit rating ? What are the methodologies used for credit rating and its importance ?

(1 × 18 = 18 marks)

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(Pages : 3)



FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2019

(CUCBCSS—UG)

B.B.A.

BBA VB 10—FINANCE SPECIALIZATION I—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 80 Marks

Part I (Objective Questions)

Answer all ten questions.

Each question carries 1 mark.

Choose the correct answer :

1. The capital market is the market for the _____.
(a) Long-term capital. (b) Short-term-capital.
(c) Medium term-capital. (d) None of these.
2. In an underwriting arrangement, the risk is assumed by the :
(a) Issuer of the security. (b) Investment bankers.
(c) Commercial bankers. (d) Institutional investors.
3. AAA refers to :
(a) Modest security. (b) Highest security.
(c) Medium security. (d) None of these.
4. These are very short-term loans, which are granted for overnight use or for 24 hours or for a maximum of 7 days :
(a) Call money. (b) Commercial bill.
(c) Treasury bill. (d) None of these.
5. Financial service can also be called financial _____.
(a) Intermediation. (b) Interaction.
(c) Transaction. (d) Inclusion.

Fill in the blanks :

6. _____ market meets the short-term requirements of the borrowers.
7. _____ underwriting is one in which, a number of underwriting firms jointly underwrite an issue of securities.

Turn over

8. _____ controls the money supply in a country.
9. Stock exchange is a _____ market.
10. To act as an underwriter, a certificate of registration must be obtained from _____.

(10 × 1 = 10 marks)

Part II (Short Answer Questions)

Answer any eight out of the ten questions in two or three sentences.

Each question carries 2 marks.

11. What is a commercial paper ?
12. What is called primary market ?
13. What is leasing ?
14. What are the merits of call money market ?
15. What is a debenture ?
16. What are convertible preference shares ?
17. What are unsecured debentures ?
18. What is hire-purchase ?
19. What are preference shares ?
20. Define merchant banking.

(8 × 2 = 16 marks)

Part III (Short Essay Questions)

Answer any six out of the eight questions in about 200 words.

Each question carries 4 marks.

21. What is the importance of money market ?
22. What are the features of insurance ?
23. What are the types of credit card ?
24. What are the types of financial market ?
25. What are the services of stock exchange to companies ?
26. What are the types of factoring ?
27. What are the objectives of financial services ?
28. What are the functions of a credit rating agency ?

(6 × 4 = 24 marks)

Part IV (Essay Questions)

Answer any two out of the three questions in about 800 words.

Each question carries 15 marks.

29. What do you mean by credit rating ? What are the advantages of credit rating ?
30. Define stock exchange. What are the features and services of stock exchange ?
31. Define insurance. What are the kinds of insurance ?

(2 × 15 = 30 marks)

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(Pages : 2)

Name.....

Reg. No.....

FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2018

(CUCBCSS—UG)

BBA VB 10—FINANCE SPECIALIZATION I—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 80 Marks

Part I (Objective Questions)

*Answer all ten questions.
Each question carries 1 mark.*

Choose the correct answer :

1. The leading borrowers in the capital market are _____.
(a) Individuals. (b) Firms.
(c) Government. (d) Corporates.
2. ICRA is a _____ company.
(a) Public limited. (b) Private limited.
(c) Joint. (d) None of these.
3. Rating is expressed in _____.
(a) Pictures. (b) Graphs.
(c) Code numbers. (d) None of these.
4. The first organised stock exchange in India was started in _____.
(a) Delhi. (b) Calcutta.
(c) Mumbai. (d) Cochin.
5. Bonus shares are gift shares issued to the existing shareholders holding _____ shares.
(a) Partly paid shares. (b) Fully paid shares.
(c) Authorised shares. (d) None of these.

Fill in the blanks :

6. Certificate of deposits are _____ instrument issued by banks and financial institutions to raise large amount of money.
7. The headquarters of EXIM bank is at _____.
8. _____ is the insurance regulator.
9. Watch dog of Indian capital market is _____.
10. _____ can be defined as an assessment of the credit worthiness of individuals and corporations.

(10 × 1 = 10 marks)

Turn over

Part II (Short Answer Questions)

*Answer any eight out of the ten questions in two or three sentences.
Each question carries 2 marks.*

11. Define money market.
12. What is factoring ?
13. What do you mean by merchant banking ?
14. What are cumulative preference shares ?
15. Define bill of exchange.
16. What is meant by leasing ?
17. Define stock exchange.
18. What is a secondary market ?
19. What are secured debentures ?
20. What is underwriting ?

(8 × 2 = 16 marks)

Part III (Short Essay Questions)

*Answer any six out of the eight questions in about 200 words.
Each question carries 4 marks.*

21. What are the features of a developed money market ?
22. What are the types of debit cards ?
23. What are the services of stock exchange to community ?
24. What are the parties of insurance ?
25. What are the functions of underwriters ?
26. What are the functions of factoring ?
27. What are the characteristics of financial services ?
28. What are the objectives of credit rating ?

(6 × 4 = 24 marks)

Part IV (Essay Questions)

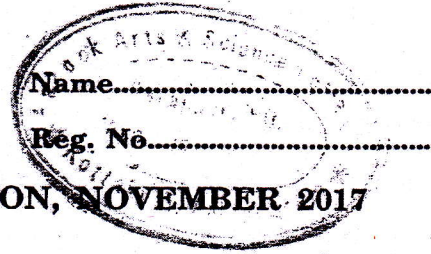
*Answer any two out of the three questions in about 800 words.
Each question carries 15 marks.*

29. What is credit rating ? What are the functions of a credit rating agency ?
30. What is insurance ? What are the types of insurance ?
31. What is a stock exchange ? What are the features and functions of a stock exchange ?

(2 × 15 = 30 marks)

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(Pages: 3)



FIFTH SEMESTER B.B.A. DEGREE EXAMINATION, NOVEMBER 2017
(CUCBCSS—UG)

BBA VB 10—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 80 Marks

Part I (Objective Questions)

Answer all ten questions.

Choose the correct answer :

1. AAA refers to :
 - (a) Modest security.
 - (b) Highest security.
 - (c) Medium security.
 - (d) None of these.
2. The leading borrowers in the capital market are _____.
 - (a) Individuals.
 - (b) Firms.
 - (c) Government.
 - (d) Corporates.
3. The first organised stock exchange in India was started in _____.
 - (a) Delhi.
 - (b) Calcutta.
 - (c) Mumbai.
 - (d) Cochin.
4. Stock exchanges are regulated and controlled by the Securities Contract (Regulation) Act _____.
 - (a) 1948.
 - (b) 1956.
 - (c) 1934.
 - (d) 1961.
5. _____ are bonds issued by certain national governments.
 - (a) Debentures.
 - (b) Gilts.
 - (c) Gillnets.
 - (d) None of these.

Turn over

Fill in the blanks :

6. _____ controls the money supply in a country.
7. Certificate of deposits are _____ instruments issued by banks and financial institutions to raise large amount of money.
8. Watch dog of Indian capital market is _____.
9. _____ is a market where money is bought and sold.
10. The highest rating is usually _____ and the lowest is D.

(10 × 1 = 10 marks)

Part II (Short Answer Questions)

Answer any eight out of the ten questions in two or three sentences.

11. What is leasing ?
12. What are convertible preference shares ?
13. What are preference shares ?
14. What is factoring ?
15. What are secured debentures ?
16. What is bill of exchange ?
17. What are equity shares ?
18. What is a stock exchange ?
19. What is underwriting ?
20. What are the essential elements of leasing ?

(8 × 2 = 16 marks)

Part III (Short Essay Questions)

Answer any six out of the eight questions in about 200 words.

21. What are the various types of credit card ?
22. What are the functions of credit rating agency ?
23. What are the various types of debit cards ?
24. What are the services of stock exchange to community ?
25. What are the basic principles of insurance ?

26. What are the benefits of underwriting ?
27. What are the features of credit rating ?
28. Distinguish between primary market and stock exchange.

(6 × 4 = 24 marks)

Part IV (Essay Questions)

Answer any two out of the three questions in about 800 words.

29. Define insurance. What are the different kinds of insurance ?
30. Define credit rating. Explain the credit rating agency in India.
31. Define stock exchange. What are the features and services of stock exchange ?

(2 × 15 = 30 marks)

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(Pages : 3)

Name.....

Reg. No.....

SIXTH SEMESTER B.B.A. DEGREE EXAMINATION, MARCH/APRIL 2016

(UG—CCSS)

Core Course

BB VI B12—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 30 Weightage

Part A

*This part contains three bunches of questions.
Each bunch has four questions. Each bunch carries one weightage.
Answer all twelve questions.*

A. Choose the correct answer :

1 Investor protection is the major responsibility of :

- (a) SEBI. (b) Registrar.
(c) CBDT. (d) RBI

2 The leased asset is shown in the Balance sheet of :

- (a) Lessee. (b) Either lessee or lesser.
(c) Lesser (d) None of these.

3 Which of the following is a money market instrument ?

- (a) Bond. (b) Debenture.
(c) Stock certificate. (d) Certificate of deposit.

4 Major constituents of Indian money market are :

- (a) Co-operative banks. (b) Investment banks.
(c) Commercial banks. (d) RBI.

B. Fill in the blanks :

5 The functioning of Mutual Funds in India is regulated by _____.

6 The minimum net worth for the first category of merchant banker is Rs _____.

7 Depository system reduces the time for _____ Securities.

8 Members of OTCEI are _____.

Turn over

C. Answer in *one* word :

- 9 Expand CRISIL.
- 10 Name the shares offered to the existing share holders free of cost.
- 11 Which bank is doing forfeiting in India.
- 12 Name a fee based activity.

(3 × 1 = 3 weightage)

Part B

*Answer all nine questions in 1 or 2 sentences each.
Each question carries a weightage of 1.*

- 13 What do you mean by financial asset ?
- 14 What is meant by *causa proxima* ?
- 15 What is leasing ?
- 16 What do you mean by right issue ?
- 17 What do you mean by Venture Capital ?
- 18 What do you meant by securitization ?
- 19 Distinguish between factoring and discounting.
- 20 What is port folio management ?
- 21 What do you mean by securitization ?

(9 × 1 = 9 weightage)

Part C

*Answer any five questions.
Answer not to exceed one page each.
Each question carries a weightage of 2.*

- 22 Examine the recent trends in Primary Market in India.
- 23 Distinguish between Share and Mutual Fund unit.
- 24 What are the different types of marine policies ?
- 25 What are the important fund based services ?
- 26 What are the functions of SEBI ?
- 27 What are the functions of Stock Exchange ?
- 28 What do you mean by credit rating ? What are its advantages ?

(5 × 2 = 10 weightage)

Part D

Answer any two questions.

Each question carries a weightage of 4.

- 29 Define factoring. What are the different types of factoring ?
- 30 Define venture capital. What are the different methods of Venture Capital Financing ?
- 31 What are the problems of leasing in India ?

(2 × 4 = 8 weightage)

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(Pages : 2)

Name.....

Reg. No.....

SIXTH SEMESTER B.B.A. DEGREE EXAMINATION, MARCH/APRIL 2015

(UG-CCSS)

Core Course

BB VI B 12—INDIAN FINANCIAL SYSTEM

Time : Three Hours

Maximum : 30 Weightage

Part A

*This part contains three bunches of questions carrying equal weightage.
Each bunch has four questions.
Answer all twelve questions.*

A. Choose the correct answer :

1. The authority established for regulating and developing stock market in India is :
(a) SEBI. (b) IRDA.
(c) CBDT. (d) RBI.
2. The leased asset is shown in the Balance sheet of :
(a) lessee. (b) either lessee or lessor.
(c) lessor. (d) none of these.
3. Which of the following is not a contract of indemnity ?
(a) Life insurance. (b) Fire insurance.
(c) Marine insurance. (d) Third party insurance.
4. Securitization is associated with :
(a) Capital Market. (b) Debt Market.
(c) Money Market. (d) Foreign Exchange Market.

B. Fill in the blanks :

5. The direct sale of securities to investors is called _____.
6. In fire insurance, the insurable interest is required at the time of _____.
7. A device to arrive at offer price is _____.
8. The process of holding entire supply of a particular security is called _____.

C. Answer in one word :

9. Expand IRDA.
10. Name the process of admitting securities for trading on a recognized stock exchange.

Turn over

11. Which bank is doing forfeiting in India ?
12. Name a fee based activity.

(12 × ¼ = 3 weightage)

Part B

*Answer all **nine** questions in 1 or 2 sentences each.
Each question carries 1 weightage.*

13. What do you mean by insurable interest ?
14. What do you mean by factoring ?
15. What do you mean by stock broking ?
16. What do you mean by book building ?
17. What do you mean by insider trading ?
18. Define merchant banker.
19. Distinguish between market lot and odd lot.
20. What is depository system ?
21. What do you mean by private placement ?

(9 × 1 = 9 weightage)

Part C

*Answer any **five** questions.
Answer not to exceed **one** page each.
Each question carries a weightage of 2.*

22. Explain the features of Depository system.
23. Distinguish between financial lease and operating lease.
24. What are the important functions of factoring ?
25. What are the important fees based services ?
26. What are the functions of credit rating ?
27. Define mutual fund. What are the different schemes of mutual fund ?
28. What do you mean by buyback of shares? What are its advantages ?

(5 × 2 = 10 weightage)

Part D

*Answer any **two** questions.
Each question carries a weightage of 4.*

29. What do you mean by listing of securities ? Explain the procedure for listing. Point out the merits and demerit, of listing of securities.
30. Explain in detail the SEBI guidelines for Primary Market.
31. What are the functions and powers of stock exchange ?

(2 × 4 = 8 weightage)