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(Pages : 2)

Name.....

Reg. No.....

**FIFTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION
NOVEMBER 2025**

B.Com.

BCM 5B 10—FINANCIAL MARKETS AND SERVICES

(FINANCE SPECIALIZATION—I)

(2019 Syllabus)

Time : Two Hours and a Half

Maximum : 80 Marks

*Answers should be written in English only.***Section A***Answer all questions.**Each question carries 2 marks.**(Ceiling 25 marks)*

1. What is NSE ?
2. What is New Issue market ?
3. What is Venture Capital ?
4. Difference between Factoring and Forfeiting.
5. What is Underwriting ?
6. What is Mutual Funds ?
7. Expand SIDBI.
8. What is call Money ?
9. What is Financial System ?
10. Difference between Financial lease and Operating Lease.
11. What is Book building ?
12. What is ADR ?
13. What is REPO ?

Turn over

14. Write a short note on UTI.
15. What is Online Stock Trading ?

(15 × 2 = 30 ; ceiling 25 marks)

Section B

*Answer all questions carries 5 marks.
(Ceiling 35 marks)*

16. Explain the role of RBI in the security market.
17. Explain the features of Indian Money Market.
18. What are the functions of Insurance Companies in Stock market ?
19. What are the advantages of Secondary market ?
20. Explain the functions of NABARD.
21. What are the advantages of Mutual Funds ?
22. Discuss the functions of SEBI in Stock Market.
23. What are the features of NBFIs ?

(8 × 5 = 40, Ceiling 35 marks)

Section C

Answer any two questions carries 10 marks.

24. Explain the role of financial intermediaries in Indian financial Market.
25. What is Leasing ? Explain its advantages and disadvantages.
26. Explain the functions of New Issue Market
27. Discuss the role of Financial Institutions in the development of Stock Market.

(2 × 10 = 20 marks)

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(Pages : 2)

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FIFTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION, NOVEMBER 2024

B.Com.

BCM 5B 10—FINANCE SPECIALIZATION I – FINANCIAL MARKETS AND SERVICES

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

Part A

*Answer all questions.
Each question carries 2 marks.
(ceiling 25 marks).*

1. What is capital market ?
2. What is money market ?
3. What are the features participation certificates ?
4. What is underwriting ?
5. What are CDs ?
6. What are the methods to raise money by a company ?
7. What is GDR ?
8. What is book building ?
9. What is EXIM bank ?
10. What are secondary markets ?
11. What are derivatives ?
12. What are arbitrageurs ?
13. What are options ?
14. What are venture capital ?
15. What are factoring ?

(15 × 2 = 30 ; ceiling 25 marks)

Turn over

Part B

*Answer all questions.
Each question carries 5 marks.
(ceiling 35 marks)*

16. What are the weakness of Indian financial system ?
17. What are the features developed money market ?
18. What are the advantages of Treasury bill market ?
19. What is the importance of capital market ?
20. What is the functions of merchant banks in issue management ?
21. Give a note on NABARD ?
22. Give a note on NSE.
23. What are the advantages of mutual funds ?

(8 × 5 = 40 ; ceiling 35 marks)

Part C

*Answer any two questions.
Each question carries 10 marks.*

24. Explain role and functions of financial system in economic development?
25. Explain the Indian money market instruments and state the defects of Indian money market?
26. Mutual funds pool money from investors. Elucidate with features and defects
27. Explain RBI with its functions and role.

(2 × 10 = 20 marks)

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(Pages : 2)

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NOVEMBER 2023**

B.Com.

BCM 5B 10—FINANCE SPECIALIZATION I—FINANCIAL MARKETS AND SERVICES

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

Part A*Answer all questions.**Each question carries 2 marks.**Ceiling 25 marks.*

1. What is debt market ?
2. What is money market ?
3. What are the features financial instruments ?
4. What is Repos ?
5. What are Cds ?
6. What are IPO AND NFO ?
7. What is Gilt edged securities ?
8. What is book building ?
9. What is right issue ?
10. What are secondary markets ?
11. What are Derivatives ?
12. What are commodity exchanges ?
13. What are NSE indices ?
14. What are mutual funds ?
15. What are Factoring ?

(15 × 2 = 30 ; ceiling 25 marks)

Turn over

Part B

*Answer all questions.
Each question carries 5 marks.
Ceiling 35 marks.*

16. What are the functions of financial market ?
17. What are the features money market ?
18. What are the merits and limitations of commercial bill market ?
19. What are the functions of capital market ?
20. What is the role of merchant banks in issue management ?
21. What are the powers of SEBI ?
22. Give a note on online security trading ?
23. What are the general utility services of commercial banks ?

(8 × 5 = 40 ; ceiling 35 marks)

Part C

*Answer any two questions.
Each question carries 10 marks.*

24. Explain financial system and the significance of it ?
25. Explain the money market instruments and state the defects of Indian money market ?
26. An efficient capital market is essential pre requisite for economic development of a country especially India. Elucidate with features and defects.
27. Explain stock exchanges with their functions and services.

(2 × 10 = 20 marks)

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(Pages : 2)

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**FIFTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION
NOVEMBER 2022**

B.Com.

BCM 5B 10—FINANCE SPECIALIZATION I—FINANCIAL MARKETS AND SERVICES

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

Section A

*Answer all questions.
Each question carries 2 marks.
Ceiling 25 marks.*

1. What is a financial intermediary ?
2. Define money market.
3. What are the types of treasury bills ?
4. Define mutual fund.
5. What is IPO ?
6. What do you mean by right issue ?
7. What is an asset financing company ?
8. What is fire Insurance ?
9. What do you mean by insider trading ?
10. What is call or notice money ?
11. Who is a Merchant banker ?
12. What is factoring ?
13. What is the concept leasing ?
14. Define call money market.
15. What is venture capital ?

(15 × 2 = 30 marks ; Ceiling 25 marks)

Turn over

Section B

Each question carries 5 marks.

Ceiling 35 marks.

16. What are the functions of financial markets ?
17. Write the difference between Money Market and Capital Market.
18. Explain the features of open-ended mutual fund.
19. Briefly explain the services of merchant banks.
20. Discuss the difference between primary market and secondary market.
21. Explain the advantages of treasury bills.
22. Explain the general utility service of commercial banks.
23. Write briefly the features of hire purchase.

(8 × 5 = 40 marks ; Ceiling 35 marks)

Section D

Answer any two questions.

Each question carries 10 marks.

24. What is a nonbanking company ? Explain the services rendered by NBFCs.
25. Briefly discuss the money market instruments available in India.
26. What are the reasons for poor performance of primary market in India ?
27. Explain the importance of mutual fund.

(2 × 10 = 20 marks)

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(Pages : 2)

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FIFTH SEMESTER U.G. DEGREE EXAMINATION, NOVEMBER 2021

(CBCSS—UG)

B.Com.

BCM 5B 10—FINANCE SPECIALIZATION—I—FINANCIAL MARKETS AND SERVICES

(2019 Admissions)

Time : Two Hours and a Half

Maximum : 80 Marks

Section A*Answer at least ten questions.**Each question carries 3 marks.**All questions can be attended.**Overall Ceiling 30.*

1. Define hire purchase.
2. What is money market ?
3. What are the types of treasury bills ?
4. What is employees stock option plan ?
5. Define gilt edged securities.
6. What is stock index ?
7. Who is an underwriter ?
8. What do you mean by stock exchange ?
9. Write any *two* objectives of SEBI.
10. What is Repos ?
11. Define commercial paper.
12. What is financial mortgage market ?
13. What is preference shares ?
14. Define call money market.
15. What is primary market ?

(10 × 3 = 30 marks)

Turn over

Section B

*Answer at least **five** questions.*

Each question carries 6 marks.

All questions can be attended.

Overall Ceiling 30.

16. What are the types of insurance ?
17. Explain the functions of SEBI.
18. Write a note on Non-Banking Financial Companies.
19. Explain the quantitative credit control technique of RBI.
20. Discuss the functions of capital market.
21. Briefly explain the defects of Indian money market.
22. Write the difference between Factoring and forfaiting.
23. What are the advantages of mutual funds ?

(5 × 6 = 30 marks)

Section C

*Answer any **two** questions.*

Each question carries 10 marks.

24. Explain the recent trends in Indian money market.
25. Discuss the various stages in venture capital financing.
26. What is online security trading ? Briefly explain its merits.
27. Briefly discuss the functions of stock exchange.

(2 × 10 = 20 marks)