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Name.....

Reg. No.....

**FIFTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION  
NOVEMBER 2025**

B.B.A.

BBA 5B 11—(FINANCE ELECTIVE II)—FINANCIAL MARKET AND INSTITUTIONS

(2019 Syllabus)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What do you mean by DFIs ?
2. What is meant by a financial market ?
3. What is a commercial paper ?
4. What do you mean by sweat equity ?
5. What do you mean by the listing of securities ?
6. What is a derivative market ?
7. What do you mean by dematerialization ?
8. What is a primary market ?
9. What do you mean by swaps ?
10. What is meant by a mortgage market ?
11. What is a bill of exchange ?
12. What do you mean by a discount market ?
13. Expand IDFC, NBFC, NSDL and CDSL.

**Turn over**

14. What is a stock exchange ?
15. What is a money market ?

(15 × 2 = 30, Maximum ceiling 25 marks)

### Part B

*Answer all questions.*

16. Briefly explain the book building process and the advantages of book building.
17. List out the differences between the primary market and the secondary market.
18. What are the salient features of a futures contract ?
19. Write a note on the Government securities market.
20. What are the components of the financial system ?
21. Describe the role of RBI in regulating the money market.
22. Discuss treasury bills and certificates of deposit, explaining their significance and purpose in India.
23. Briefly explain the role of stock exchanges in an economy.

(8 × 5 = 40, Maximum ceiling 35 marks)

### Part C

*Answer any two questions.*

*Each question carries 10 marks.*

24. Discuss in detail the role and major functions of the Securities and Exchange Board of India (SEBI).
25. Explain the meaning and functions of financial derivatives. Discuss the classification of derivative instruments.
26. What are the various methods of floating new issues in the primary market ?
27. Discuss the major stock exchanges and key stock market indices in India.

(2 × 10 = 20 marks)

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**FIFTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION, NOVEMBER 2024**

B.B.A.

BBA 5B 11—FINANCE ELECTIVE II – FINANCIAL MARKET AND INSTITUTIONS

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What do you mean by ESOP ?
2. What is an arbitrage ?
3. What is red herring prospectus ?
4. What is Secured Premium Notes ?
5. What is GDR ?
6. What do you mean by Blue Chip Shares ?
7. What do you mean by sweat Equity Shares ?
8. What is Initial Public Offer ?
9. What is call money market ?
10. What is Right Issue ?
11. What is Syndicate underwriting ?
12. What do you mean by rematerialisation ?
13. What is listing ?
14. What do you mean by forward delivery contract ?
15. What is UPSI ?

(15 × 2 = 30, Maximum ceiling 25 Marks)

**Part B***Answer all questions.*

16. Briefly explain the book building process.
17. Explain the difference between forwards and futures.

**Turn over**

18. Explain the objectives of SEBI.
19. Discuss about the benefits of listing.
20. Explain the importance of stock market indices.
21. What are the various purposes of Derivatives ?
22. Briefly explain the features of Indian financial System.
23. Explain the difference between primary market and secondary market.

(8 × 5 = 40, Maximum ceiling 35 Marks)

### Part C

*Answer any two questions.*

24. Briefly explain about Bombay Stock exchange.
25. Discuss about the recent development in Indian financial System.
26. What are the different types of derivatives in Indian stock market ?
27. Explain the role of intermediaries in primary market.

(2 × 10 = 20 marks)

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**FIFTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION  
NOVEMBER 2023**

B.B.A.

BBA 5B 11—FINANCE ELECTIVE II—FINANCIAL MARKET AND INSTITUTIONS

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What do you mean by secondary market ?
2. What is ADR ?
3. What do you mean by private placement ?
4. What is Dematerialisation ?
5. What is curb trading ?
6. What is guilt edged securities ?
7. What is interbank participation certificate ?
8. Write short note on Zero Coupon Bonds ?
9. Define Derivatives.
10. What do you mean by online trading ?
11. What do you mean by Commercial Paper market ?
12. What do you mean by wash sales ?
13. What is Repo ?
14. What is leasing ?
15. What do you mean by underwriting ?

(15 × 2 = 30, Maximum ceiling 25 Marks)

**Turn over**

**Part B**

*Answer all questions.*

16. Explain the regulatory functions of SEBI.
17. Write a short notes on NSDL.
18. What are the main features of derivatives ?
19. What are the SEBI regulations on insider trading ?
20. What are the functions of new issue market ?
21. Distinguish between primary market and secondary market.
22. Explain the functions of stock exchange ?
23. Discuss about RBI regulations on money market.

(8 × 5 = 40, Maximum ceiling 35 Marks)

**Part C**

*Answer any two questions.*

24. Discuss the benefits and drawbacks of depositories in India.
25. Explain about the instruments used in capital market.
26. Briefly explain the various categories of DFIs in India.
27. Discuss about the various steps involved in the process of listing of securities.

(2 × 10 = 20 marks)

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**FIFTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION  
NOVEMBER 2022**

B.B.A.

BBA 5B 11—FINANCE ELECTIVE—II—FINANCIAL MARKET AND INSTITUTIONS

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What do you mean by Financial Intermediaries ?
2. What is GDR ?
3. Define organized market.
4. What is private placement ?
5. What is E-IPO ?
6. Write short note on Rematerialisation.
7. What is meant by cornering ?
8. Define Future Contract.
9. What do you mean by speculation ?
10. Define credit rating.
11. Who is depository ?
12. What is Wash Sales ?
13. What do you mean by Listing of Stock Exchanges ?
14. What is OTM ?
15. Define Derivatives.

(15 × 2 = 30, Maximum ceiling 25 marks)

**Turn over**

**Part B**

*Answer all questions.*

16. Explain the functions of financial system.
17. Explain the features of primary market.
18. Differentiate IPO from FPO.
19. Explain the merits of Dematerialisation.
20. Explain the importance of stock market index.
21. Explain the procedures for private placement.
22. Distinguish between right issue and public issue.
23. What are the different types of future contract ?

(8 × 5 = 40, Maximum ceiling 35 marks)

**Part C**

*Answer any two questions.*

24. What is a stock exchange ? Explain the various securities traded in stock exchange.
25. Explain the powers of SEBI in regulating capital market.
26. What are the functions of primary market ?
27. Discuss the various causes responsible for fluctuations in stock market indices.

(2 × 10 = 20 marks)

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Name.....

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**FIFTH SEMESTER U.G. DEGREE EXAMINATION, NOVEMBER 2021**

(CBCSS—UG)

B.B.A.

BBA 5B 11—FINANCE ELECTIVE-II—FINANCIAL MARKET AND INSTITUTIONS

(2019 Admissions)

Time : Two Hours and a Half

Maximum : 80 Marks

**Section A (Short Answers)**

*Answer atleast ten questions.  
Each question carries 3 marks.  
All questions can be attended.  
Overall Ceiling 30.*

1. Define Financial System.
2. What is Capital Market ?
3. What is Book Building ?
4. What is Call Money Market ?
5. What is SPN ?
6. Write short note on Origination ?
7. What is meant by NSDL ?
8. Define Forward Contract.
9. Distinguish between a Discount Market and Acceptance Market.
10. Explain CRISIL.
11. What is BADLA ?
12. What is Wash Sales ?
13. What do you mean by Listing of Stock Exchanges ?
14. What is ITM ?
15. What is Basis Swap ?

(10 × 3 = 30 marks)

**Turn over**

**Section B (Short Essays)**

*Answer atleast five questions.*

*Each question carries 6 marks.*

*All questions can be attended.*

*Overall Ceiling 30.*

16. What are the differences between Investment and Speculation ?
17. What is Future ? Explain its features.
18. Explain the benefits of Depository System.
19. Explain the features of NSE.
20. Explain various functions of SEBI.
21. What is Certificate of Deposits ? Explain its features.
22. Distinguish between a Money Market and a Capital Market.
23. What is Financial Market and explain its classification ?

(5 × 6 = 30 marks)

**Section C (Essay Type Questions)**

*Answer any two questions.*

*Each question carries 10 marks.*

24. What is a Secondary Market ? Explain the various developments taken place Secondary Market.
25. What is New Issue Market ? Explain various methods used for the floatation of securities in New Issue Market.
26. What is Listing of Securities ? Explain its advantages and disadvantages.
27. Discuss the various kinds of Financial Derivatives and bring out their features.

(2 × 10 = 20 marks)