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(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE [REGULAR/SUPPLEMENTARY]  
EXAMINATION, NOVEMBER 2025**

(CBCSS)

Master of commerce

MCM3E(F)02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours

Maximum : 30 Weightage

*Answer should be written in **English** only.***Section A***Answer any **four** questions.**Each question carries 2 weightage.*

1. What is money market ?
2. What are derivatives ?
3. What is MCX ?
4. What is FDI ?
5. What are ADRs ?
6. What is a mutual fund ?
7. What is crypto currency ?

(4 × 2 = 8 weightage)

**Section B***Answer any **four** questions.**Each question carries 3 weightage.*

8. Explain the Role of foreign capital in Indian financial system.
9. Bring out the role of NABARD.
10. Elaborate on SEBI guidelines on mutual fund.

**Turn over**

11. What are the Hindrances for the development of debt market in India ?
12. Which are the sub markets of a money market ?
13. Explain the nature and importance of buy back of shares.
14. Explain the working of NSE.

(4 × 3 = 12 weightage)

### Section C

*Answer any **two** questions.*

*Each question carries 5 weightage.*

15. Write a note on Major international stock markets.
16. Explain the nature of various instruments in debt market.
17. What are the various innovative financial instruments, in India ?
18. Explain the nature and role of PFRDA.

(2 × 5 = 10 weightage)

D 111067

(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE (REGULAR/SUPPLEMENTARY)  
EXAMINATION, NOVEMBER 2024**

(CBCSS)

M.Com.

MCM 3E (F) 02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours

Maximum : 30 Weightage

*Answer should be written in English only.***Section A***Answer any **four** questions.**Each question carries 2 weightage.*

1. What is IDR ?
2. What is FPI ?
3. What is GDR ?
4. What are euro bonds ?
5. What is AMFI ?
6. What are Pension funds ?
7. What is a Bitcoin ?

(4 × 2 = 8 weightage)

**Section B***Answer any **four** questions.**Each question carries 3 weightage.*

8. Bring out the role of IFCI.
9. Write the nature and functions of SIDBI.
10. What are the characteristics of mutual funds ?
11. Explain the working of BSE.

**Turn over**

12. What are the functions of Financial markets ?
13. Explain the Operational mechanism of debt market in India.
14. What are the functions and powers of PFRDA ?

(4 × 3 = 12 weightage)

### Section C

*Answer any two questions.*

*Each question carries 5 weightage.*

15. Explain the characteristics of money market .
16. Write a detailed note on International commodity markets.
17. Explain the process of public issue of securities.
18. Explain the nature and role of IRDA.

(2 × 5 = 10 weightage)

D 51190

(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. (C.B.C.S.S.) (REGULAR/SUPPLEMENTARY)  
DEGREE EXAMINATION, NOVEMBER 2023**

M.Com.

MCM 3E (F) 02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours

Maximum Weightage : 30

**Part A***Answer any **four** questions.**Each question carries 2 weightage.*

1. Write a short note on UTI.
2. What do you mean by Money Market ?
3. What is Bitcoin ?
4. Write a short note on Buy Back of Shares.
5. Explain ADR.
6. List out the various participants in Commodity Market ?
7. What do you mean by Financial Market ?

(4 × 2 = 8 weightage)

**Part B***Answer any **four** questions.**Each question carries 3 weightage.*

8. Explain the various types of Derivatives.
9. What are the various risk involved in the Debt Market ?
10. What are the benefits of FPI ?
11. Explain the objectives of Commodity Market.
12. Differentiate between Money Market and Capital Market.

**Turn over**

13. What are the various functions of Financial Market ?
14. What are the benefits of Capital Inflows ?

(4 × 3 = 12 weightage)

**Part C**

*Answer any two questions.  
Each question carries 5 weightage.*

15. Explain the merits and demerits of Stock exchange.
16. What do you mean by FDI ? Also, explain its advantages and disadvantages.
17. What do you mean by Primary Market and what are its functions ? Also state the difference between Primary Market and Secondary Market.
18. What are Derivatives ? What are its advantages ?

(2 × 5 = 10 weightage)

D 31064

(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE (REGULAR/SUPPLEMENTARY)  
EXAMINATION, NOVEMBER 2022**

[November 2021 session for SDE/Private Students]

(CBCSS)

Master of Commerce

MCM 3E (F) 02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours

Maximum : 30 Weightage

**Part A**

*Answer any **four** questions.  
Each question carries 2 weightage.*

1. What is REPO ?
2. Describe the meaning of underwriting.
3. What is mutual fund ?
4. Define financial intermediaries.
5. Write a short note on arbitrage.
6. What is Stock Split ?
7. Who is a depository participant ?

(4 × 2 = 8 weightage)

**Part B**

*Answer any **four** questions.  
Each question carries 3 weightage.*

8. State the defects of Indian Money Market.
9. Write notes on NSE and BSE.
10. What are the different types of money market instruments ?
11. Analyse the various modes of issuing securities.

**Turn over**

12. What are the features of forward contracts ?
13. Explain the services provided by Depository.
14. Examine the different kinds of speculators.

(4 × 3 = 12 weightage)

### Part C

*Answer any two questions.*

*Each question carries 5 weightage.*

15. 'Role of SEBI is both regulatory and promotional in respect of capital market', explain ?
16. Elucidate about the instruments used in capital market.
17. Describe the structure of Indian Financial System.
18. Explain the concept of mutual fund; discuss its advantages and disadvantages.

(2 × 5 = 10 weightage)

C 5208

(Pages : 2 + 4 = 6)

Name.....

Reg. No.....

**THIRD AND FOURTH SEMESTER M.A./M.Sc./M.Com. DEGREE  
EXAMINATION, APRIL/MAY 2021**

[PVT/SDE]

(CUCBCSS)

M.Com.

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

(2017 to 2018 Admissions)

Time : Three Hours

Maximum : 80 Marks

**Part A**

*Answer **all** the questions.  
Each question carries 2 marks.*

1. Define Financial System.
2. What are the two types of Commodity Market ?
3. What do you mean by Mutual Fund ?
4. What is meant by Euro Bond ?
5. What do you mean by Indian Depository Receipt ?

(5 × 2 = 10 marks)

**Part B**

*Answer any **four** questions.  
Each question carries 10 marks.*

6. What are the Functions of Financial System ?
7. What are the Two Theories of Interest Rates ?
8. What are the Features of Debt Market Instruments ?
9. Explain the detail about SEBI Guidelines on Mutual Fund.

**Turn over**

10. What are the difference between Foreign Portfolio Investment and Foreign Direct Investment ?
11. What is is the Impact of Foreign Capital Flow on the Indian Economy ?

(4 × 10 = 40 marks)

**Part C**

*Answer any two questions.  
Each question carries 15 marks.*

12. Briefly explain the Components of Indian Financial System.
13. What are the various Types of Mutual Funds ?
14. Briefly explain the Foreign Portfolio Investment in India.

(2 × 15 = 30 marks)

D 11581

(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE (REGULAR/SUPPLEMENTARY)  
EXAMINATION, NOVEMBER 2021**

[November 2020 for SDE/Private Students]

(CBCSS)

M.Com.

MCM 3E(F) 02—FINANCIAL MARKETS AND INSTITUTIONS

(2019 Admission onwards)

Time : Three Hours

Maximum : 30 Weightage

**General Instructions (Not applicable to SDE/Private Students)**

1. *In cases where choices are provided, students can attend **all** questions in each section.*
2. *The minimum number of questions to be attended from the Section / Part shall remain the same.*
3. *The instruction if any, to attend a minimum number of questions from each sub section / sub part / sub division may be ignored.*
4. *There will be an overall ceiling for each Section / Part that is equivalent to the maximum weightage of the Section / Part.*

**Part A**

*Answer any **four** questions.  
Each question carries 2 weightage.*

1. Define Derivatives.
2. What is Rematerialisation ?
3. Who are Book runners ?
4. What are SWAPS ?
5. What is REPO ?
6. Describe the meaning of underwriting.
7. What do you mean by FCCB ?

(4 × 2 = 8 weightage)

**Part B**

*Answer any **four** questions.  
Each question carries 3 weightage.*

8. What are the features of forward contracts ?
9. Explain the terms : a) New issue, b) Bonus issue, and c) Right issue ?

**Turn over**

10. Discuss the functions of depository.
11. Explain the role of government in securities market.
12. Explain the advantages of listing.
13. Write notes on NSE & BSE.
14. What is IPO grading ? What are its constituents ?

(4 × 3 = 12 weightage)

### Part C

*Answer any two questions.  
Each question carries 5 weightage.*

15. Describe the structure of Indian Financial System.
16. 'Explain the role and functions of various participants in the Indian Capital Market.
17. Discuss about the different securities trading in Stock exchange.
18. 'Role of SEBI is both regulatory and promotional in respect of capital market', explain ?

(2 × 5 = 10 weightage)

C 83216

(Pages : 2 + 3 = 5)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. [PVT/SDE] DEGREE EXAMINATION**

**APRIL/MAY 2020**

M.Com.

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

(2016 Admission onwards)

Time : Three Hours

Maximum : 80 Marks

**Part I (Descriptive Questions)**

**PART A**

*Answer all questions.*

*Each question carries 2 marks.*

1. Define Money Market.
2. What is meant by Yield Curve ?
3. Write a short note on the Commodity Market.
4. What is meant by Industrial Finance Corporation of India ?
5. What is American Depository Receipt ?

(5 × 2 = 10 marks)

**PART B**

*Answer any four questions.*

*Each question carries 10 marks.*

6. What do you mean by Financial Market ? Explain in detail about the Nature and importance of Financial Markets.
7. Write a short note on the Derivatives Market. Explain in detail about the functions and players of Derivatives Market.
8. Write a brief notes on the Liquidity Risk Premium Theories Interest Rate.
9. Write a short note on the Unit Trust of India. Explain in detail about the Objectives of Unit Trust of India.

**Turn over**

10. What do you mean by Provident Fund ? Explain the Classification of Provident Fund.

11. What are the classifications of Pension Plans ?

(4 × 10 = 40 marks)

PART C

Answer any two questions.  
Each question carries 15 marks.

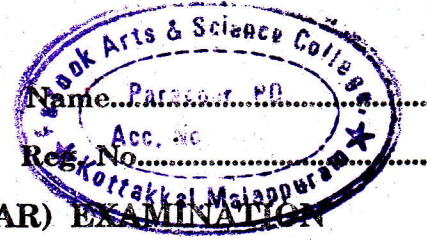
12. What do you mean by Financial Market ? Explain the Classification of Financial Market.

13. Explain the detail about the Role of Development Financial Institution.

14. Explain in detail about Advantages and Disadvantages of Foreign Direct Investment.

(2 × 15 = 30 marks)

D 70892



**THIRD SEMESTER M.Com. DEGREE (REGULAR) EXAMINATION**  
**NOVEMBER 2019**

M.Com.

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

Time : Three Hours

Maximum : 36 Weightage

**Part A**

*Answer all the questions.*

1. Brief about NSE.
2. Define Yield Curve.
3. What is Commodity Markets ?
4. Write a short note on SIDBI.
5. What do you mean by ADR ?
6. Write any prime two functions of NMCE.

(6 × 1 = 6 weightage)

**Part B**

*Answer any six of the following.*

7. What are the difference between primary market and secondary market ?
8. Highlight the major impacts of International Stock Market, on Indian Stock market.
9. Discuss the main functions of OTCET.
10. Discuss the functions the Stock Exchange.
11. Brief the recent provisions of FDI in India.
12. Explain the advantages of the Mutual fund.
13. Describe the Operations Mechanism of Commodity market in India.
14. Write the recent trends in Financial Institutions.

(6 × 3 = 18 weightage)

**Part C**

*Answer any two of the following.*

15. Discuss the regulatory framework for Foreign Capital Flows.
16. Explain the various functions of UTI in India.
17. State different type of debt market instruments.

(2 × 6 = 12 weightage)

C 62207

(Pages : 1 + 3 = 4)



**THIRD SEMESTER M.Com. DEGREE EXAMINATION, JUNE 2019**

**M.Com.**

**MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS**

**(2015 Admissions)**

**[PVT/SDE]**

**Time : Three Hours**

**Maximum : 80 Marks**

**Part I (Descriptive Questions)**

**PART A**

*Answer all questions.  
Each question carries 2 marks.*

1. What do you understand by the term 'structure of interest rates' ?
2. What is mutual fund ?
3. State the meaning of ADR.
4. Give any *two* functions of IRDA.
5. Explain the term 'financial repression'.

**(5 × 2 = 10 marks)**

**PART B**

*Answer any four questions.  
Each question carries 10 marks.*

6. Discuss the functions of NABARD.
7. Explain the major instruments of derivative market.
8. Make a note on the administration and regulation of commodities market of India.
9. Elucidate the functions of stock exchanges.
10. Write a detailed note on yield curve.
11. What is the need for foreign capital flow ?

**(4 × 10 = 40 marks)**

**PART C**

*Answer any two questions.  
Each question carries 15 marks.*

12. What is a financial market ? Explain the functions of financial markets.
13. What are the various theories which explain the determination of interest rates ?
14. Discuss the role of development financial institutions in the economic development of the country.

**(2 × 15 = 30 marks)**

**D 52478**

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE EXAMINATION, DECEMBER 2018**

(CUCSS-PG)

M.Com.

**MC3 C11—FINANCIAL MARKETS AND INSTITUTIONS**

(2015 Syllabus Year)

Time : Three Hours

Maximum : 36 Weightage

**Part A**

*Answer all the questions.  
Each question carries 1 weightage.*

1. Brief about the role of SEBI as a capital market regulator.
2. What is Utility ?
3. Write a short note on MCX.
4. Expand UTI and MCDX.
5. What is Euro bond ?
6. What is IRDA ?

(6 × 1 = 6 weightage)

**Part B**

*Answer any six of the following.  
Each question carries 3 weightage.*

7. Brief the progress of international commodity market.
8. Distinguish Equity with Debt markets.
9. Explain the maturity and structure of Interest Rates.
10. Brief T-Bills.
11. What are non-convertible Debentures.
12. Who are the sponsor in mutual fund and brief its role.
13. List out the objectives of PPF.
14. Explain the FPI with examples.

(6 × 3 = 18 weightage)

**Part C**

*Answer any two of the following.  
Each question carries 6 weightage.*

15. Discuss the various Financial Instruments available in India.
16. Different between GDR and ADR.
17. Explain the various functions of the NABARD Bank.

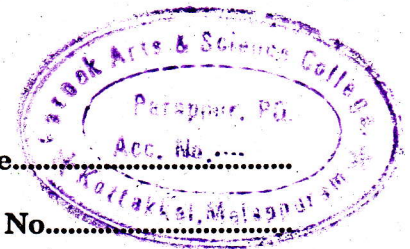
(2 × 6 = 12 weightage)

D 41651

(Pages : 3)

Name.....

Reg. No.....



**THIRD SEMESTER M.Com. DEGREE [SDE] EXAMINATION, MAY 2018**

Commerce

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

(Syllabus Year 2015)

[Admission Year 2016 onwards)

Time : Fifteen minutes

Maximum : 20 Marks

**Part II (Multiple Choice Questions)**

*Answer all questions.*

*All questions are compulsory.*

1. Commercial Papers can be issued in multiples of :  
(a) Rs. 1,00,000. (b) Rs. 2,00,000.  
(c) Rs. 5,00,000 (d) Rs. 10,00,000
2. Regional Rural Banks are controlled by :  
(a) RBI. (b) NABARD.  
(c) Sponsor Banks. (d) Central Government.
3. Companies raising money through a Public issue should disclose to the audit committee, the uses and applications of funds by major category on a \_\_\_\_\_ basis.  
(a) Monthly. (b) Quarterly.  
(c) Half Yearly. (d) Annually.
4. Which of the following is used in book-building Process ?  
(a) Red herring Prospectus. (b) Shelf Prospectus.  
(c) Abridged Prospects. (d) Draft Offer document.
5. When an underwriter agrees to buy the shares at a stage after the issue is closed :  
(a) Hard Underwriting. (b) Soft Underwriting.  
(c) Open Underwriting. (d) Conditional Underwriting.
6. The unofficial trading carried outside the stock exchange premises is known as :  
(a) Wash Sales. (b) Cornering.  
(c) Margin Trading. (d) Kerb Trading.

Turn over

7. OTCEI was established in :
- (a) 1986. (b) 1989.  
(c) 1990. (d) 1992.
8. Coupon Rate means :
- (a) Discount rate. (b) Market rate of interest.  
(c) Fixed rate of interest. (d) Internal Rate of Return.
9. The prices and yields on Government Securities are compiled by :
- (a) SEBI. (b) BSE.  
(c) NSE. (d) RBI.
10. The delivery and payment should be completed within 14 days following the date of the contract in :
- (a) Hand Delivery. (b) Spot Delivery.  
(c) Special Delivery. (d) Delivery for clearing.
11. Which of the following is not a reference rate :—
- (a) Repo rate. (b) Coupon rate.  
(c) Exchange rate. (d) Bill Auction rate.
12. A bill in which no time for payment is specified is called :
- (a) Open bill. (b) Usance bill.  
(c) Demand bill. (d) Documentary bills.
13. Which among the following is not a Financial Service :—
- (a) Leasing. (b) Hire-Purchase.  
(c) Securitisation. (d) Forfaiting.
14. IDBI was set up in :
- (a) 1949. (b) 1956.  
(c) 1964. (d) 1976.
15. In India, the company which actually deals with the corpus of the mutual fund is called :
- (a) Sponsor Company. (b) Trustee Company.  
(c) Asset Management Company. (d) Mutual Fund Company.
16. When an investor of Mutual Fund is given an option to reinvest his entire investment once again for another term, it is called :
- (a) Roll over facilities. (b) Re-issue facilities.  
(c) Repurchase facilities. (d) Lateral Shifting facilities.

17. The process of holding the entire supply of a particular security with a view to dictating terms is called :
- (a) Sales. (b) Arbitrage.  
(c) Rigging the market. (d) Cornering.
18. An order for the purchase of securities at a fixed price is known as :
- (a) Limit order. (b) Open Order.  
(c) Discretionary order. (d) Stop Loss Order.
19. The market which helps commercial banks to maintain their SLR requirement is :
- (a) Commercial bill Market. (b) Acceptance Market.  
(c) Discount Market. (d) Call loan Market.
20. The total underwriting obligation of an underwriter under all of the agreements should not exceed \_\_\_\_\_ of his Net worth.
- (a) 10 times. (b) 20 times.  
(c) 25 times. (d) 50 times.

**D 41651**

(Pages : 1 + 3 = 4)

Name.....

Reg. No.....



**THIRD SEMESTER M.Com. DEGREE [SDE] EXAMINATION, MAY 2018**

Commerce

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

(2015 Admissions)

Time : Three Hours

Maximum : 80 Marks

**Part I (Descriptive Questions)**

**PART A**

*Answer all the questions.*

*Each question carries 2 marks.*

1. What do you mean by Financial Markets ?
2. What is MCX ?
3. What do you mean by GDR and ADR ?
4. What is Debt Market ?
5. What do you mean by PFRDA and IRDA ?

(5 × 2 = 10 marks)

**PART B**

*Answer any four questions.*

*Each question carries 10 marks.*

6. Explain Maturity and interest rates ?
7. What are the features of Financial Markets in India ?
8. What are the hindrances for the development of debt market ?
9. What is the regulatory frame work for foreign capital flows ?
10. What are the nature and functions of Money Market ?
11. Explain SEBI guidelines for Mutual Funds.

(4 × 10 = 40 marks)

**PART C**

*Answer any two questions.*

*Each question carries 15 marks.*

12. Explain the various forms of foreign capital flows.
13. Explain the structure of Financial Markets in brief.
14. Explain the role and activities of Commodity Markets.

(2 × 15 = 30 marks)

C 21458

(Pages : 2)

Name.....

Reg. No.....

**THIRD SEMESTER M.Com. DEGREE EXAMINATION, DECEMBER 2017**

(CUCSS)

MC 3C 11—FINANCIAL MARKETS AND INSTITUTIONS

(2015 Admissions)

Time : Three Hours

Maximum : 36 Weightage

**Part A**

*Answer all the questions.*

*Each question carries 1 weightage.*

1. What is Capital Market ?
2. Write a short note on NSE.
3. Define Yield curve.
4. Write a short note on NCDEX.
5. Write a short note on SIDBI.
6. List the various types of Foreign Investment.

(6 × 1 = 6 weightage)

**Part B**

*Answer any six of the following.*

*Each question carries 3 weightage.*

7. What are the various constituents of Indian financial system ? Explain in detail.
8. Describe the functions of various players in the Capital Market.
9. Explain liquidity preference theory.
10. List down the various risks that mutual fund faces.
11. Who are all the participants of Commodity market ? Explain in detail.
12. Explain the various functions performed by NABARD in detail.
13. Differentiate FDI with FII.
14. Discuss the advantages of American Depository Receipts.

(6 × 3 = 18 weightage)

**Turn over**

**Part C**

*Answer any two of the following.  
Each question carries 6 weightage.*

15. Explain the significance of Capital Market in the context of Economic Development of a nation.
16. Discuss the salient features of the Regulations prescribed by IRDA for the investment of funds by Insurance Companies.
17. Discuss the Impact of FDI's and FII's in Indian Financial Market.

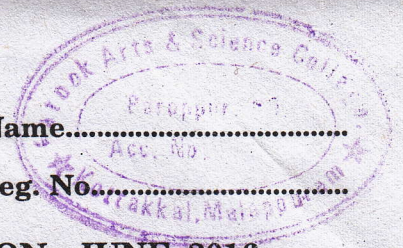
(2 × 6 = 12 weightage)

C 3555

(Pages : 2)

Name.....

Reg. No.....



**FOURTH SEMESTER M.Com. DEGREE EXAMINATION, JUNE 2016**

(CUCSS)

MC4E (FM) 01—FINANCIAL MARKETS AND INSTITUTIONS

(2010 Admission onwards)

Time : Three Hours

Maximum : 36 Weightage

**Part A**

*Answer all questions.*

*Each question carries a weightage of 1.*

1. Define stock market.
2. What do you mean by fast track issue ?
3. Name the two important depositories in India.
4. Distinguish between Gilt funds and Liquid funds.
5. What is reverse repo ?
6. What are the prerequisites for the listing ?

(6 × 1 = 6 weightage)

**Part B**

*Answer any six questions.*

*Each question carries a weightage of 3.*

7. Explain the regulatory framework for NRI investments in India.
8. What is insider trading ? What are the steps taken by SEBI to prevent it ?
9. What are the matters to be stated in a life insurance policy ?
10. Explain the responsibilities of merchant bankers in issue management.
11. Examine the different types of term lending given by commercial banks to industries in Kerala.
12. What is gold ETF ? Are there any differences between gold ETF and ETF ? *Exchange Traded Fund*
13. "Without adequate credit information the investor cannot carry out his investment programme."  
Elucidate.
14. Describe the reasons for companies preferring private placement of the most appealing method of floatation of securities.

(6 × 3 = 18 weightage)

**Turn over**

**Part C**

*Answer any two questions.*

*Each question carries a weightage of 6.*

15. How do mutual funds deploy their money? What are the regulations regarding the investment of mutual funds?
16. How do foreign capital investment differ from domestic capital investment? Explain.
17. Discuss the role of SEBI in primary market.

(2 × 6 = 12 weightage)