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(Pages : 2)

Name.....

Reg. No.....

**SIXTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION****APRIL 2026**

B.B.A.

BBA 6B 15—FINANCIAL SERVICES (FINANCE ELECTIVE)

(2020 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What do you mean by a capital market ?
2. What is meant by open-ended and closed-end funds ?
3. What is the difference between Angel investing and crowdfunding ?
4. List out any *four* functions of merchant banking.
5. What do you mean by a pension fund ?
6. Who is a factor, and what is factoring ?
7. Who are the parties to a contract of lease financing ?
8. What is meant by credit rating ?
9. What is a Demat account ?
10. What do you mean by a secondary market ?
11. Define Financial Services.
12. What is meant by risk capital ?
13. Who is a merchant banker, and what is merchant banking ?
14. What is meant by loan syndication ?
15. What is NAV in mutual funds ?

(15 × 2 = 30, Maximum ceiling 25 marks)

**Turn over**

**Part B**

*Answer all questions.*

16. Write a note on SEBI.
17. Briefly explain international factoring.
18. How are Merchant banks different from commercial banks ?
19. Write a note on Exchange Traded Funds (ETFs).
20. What are the various functions or services performed by a factor ?
21. Differentiate between fund-based and fee-based financial services.
22. List out the pros and cons of crowdfunding.
23. Briefly explain the various credit rating agencies in India.

(8 × 5 = 40, Maximum ceiling 35 Marks)

**Part C**

*Answer any two questions.  
Each question carries 10 marks.*

24. What is meant by venture capital ? What are the various stages involved in venture capital financing ? Also, list out the advantages and limitations of venture capital.
25. What is meant by forfaiting ? What are its features ? Also, explain how is it different from factoring ?
26. Discuss the major capital market services in India with special reference to stock broking and depository services. Also, explain the regulatory framework governing capital market services in India.
27. What are the various categories or types of mutual fund schemes ? Differentiate between ETF and mutual fund. Also, list out the advantages and limitations of mutual funds.

(2 × 10 = 20 marks)

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(Pages : 2)

Name.....

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**SIXTH SEMESTER (CBCSS—UG) DEGREE EXAMINATION  
MARCH 2025**

B.B.A.

BBA 6B 15—FINANCIAL SERVICES  
(Finance Elective)

(Admissions Year—2019 Onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What is leasing ?
2. List down any *two* differences between factoring and forfaiting.
3. What do you mean by angel investing ?
4. List out any *four* features of factoring.
5. What do you mean by crowdfunding ?
6. What is meant by financial services ?
7. Who is a lessor and who is a lessee ?
8. What do you mean by Demat account ?
9. List out any *four* advantages of lease financing.
10. What is meant by mutual funds ?
11. What do you mean by underwriting ?
12. What is a financial market ?
13. What do you mean by venture capital ?

**Turn over**

14. Who is a stock broker ?
15. What do you mean by fund-based and fee-based financial services ?

(15 × 2 = 30, Maximum ceiling 25 marks)

### **Part B**

*Answer all questions.*

16. List out the major functions of SEBI.
17. What are the various functions performed by a factor ?
18. Explain the types of leasing.
19. Distinguish between Exchange Traded Funds and Mutual Funds.
20. What are the various benefits of credit rating ?
21. What are various stages involved in venture capital financing ?
22. Explain the features of forfaiting.
23. List out the importance or significance of financial services.

(8 × 5 = 40, Maximum ceiling 35 marks)

### **Part C**

*Answer any two questions.*

24. Discuss the advantages and limitations of mutual funds. Also, explain the various of mutual funds schemes.
25. What do you mean by credit rating ? Briefly explain the various credit rating agencies in India.
26. What are the features or characteristics of venture capital ? Also, explain its importance.
27. What do you mean by factoring ? List out its objectives. What are the various types of factoring ?

(2 × 10 = 20 marks)

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(Pages : 2)

Name.....

Reg. No.....

**SIXTH SEMESTER U.G. DEGREE EXAMINATION, MARCH 2024**

(CBCSS—UG)

B.B.A.

BBA 6B 15—FINANCIAL SERVICES

(Finance Elective)

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What is Speculation ?
2. What is REPO ?
3. Name two fund intermediation services.
4. Define Financial System ?
5. Define Derivatives.
6. What is mutual fund ?
7. Define financial intermediaries.
8. What is Stock Split ?
9. Who is a depository participant ?
10. What is small cap ?
11. Who are Book runners ?
12. What are SWAPS ?
13. What do you mean by FCCB ?
14. What are gilt edged securities ?
15. State any *two* credit rating symbols ?

(15 × 2 = 30, Maximum ceiling 25 marks)

**Turn over**

**Part B**

*Answer all questions.*

16. Explain the components of portfolio management ?
17. What is SEBI ? Discuss the objectives of SEBI.
18. What are the different types of money market instruments ?
19. Discuss the functions of depository.
20. What are features of forward contracts ?
21. Explain the advantages of listing.
22. Explain the different kind of speculators.
23. Give a brief account on the intermediaries in new issue market.

(8 × 5 = 40, Maximum ceiling 35 Marks)

**Part C**

*Answer any two questions.*

24. Explain the concept of mutual fund, Discuss its advantages and disadvantages.
25. 'Role of SEBI is both regulatory and promotional in respect of capital market' explain ?
26. Explain the role and functions of various participants in the Indian Capital Market.
27. Describe the structure of Indian Financial System.

(2 × 10 = 20 marks)

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(Pages : 2)

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**SIXTH SEMESTER U.G (CBCSS—UG) DEGREE EXAMINATION  
MARCH 2023**

BBA

BBA 6B 15—FINANCIAL SERVICES (FINANCE ELECTIVE)

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

**Part A***Answer all questions.*

1. What are ETFs ?
2. List down any four advantages of mutual funds.
3. What are merchant banks ?
4. What do you mean by credit rating ?
5. What are balanced mutual funds ?
6. What is meant by a financial market ?
7. List out the benefits of venture capital.
8. What do you mean by loan syndication ?
9. What do you mean by underwriting ?
10. List out the essential elements of leasing.
11. Who is a factor and what is factoring ?
12. What are mutual funds ?
13. List out any four characteristics of financial services.
14. What do you mean by private equity ?
15. List out the benefits of credit rating.

(15 × 2 = 30, Maximum Ceiling 25 marks)

**Turn over**

**Part B**

*Answer all questions.*

16. Briefly explain the various types of leasing.
17. What are the various types of factoring services ?
18. Write a note on CRISIL and CARE.
19. Explain the importance of financial-services.
20. What are the various classifications of mutual fund schemes ?
21. Explain briefly the various features of venture capital.
22. Write a note on various services provided by Merchant banks in India.
23. Distinguish between factoring and forfaiting.

(8 × 5 = 40, Maximum ceiling 35 Marks)

**Part C**

*Answer any two questions.*

24. What do you mean by leasing ? List out its importance. Also, explain the various advantages and limitations of lease financing.
25. What is meant by financial services? Briefly explain the various types of financial services.
26. List out the major functions of SEBI. Also, explain briefly how SEBI regulates Merchant banking in India.
27. What is meant by venture capital ? Explain in detail the importance of venture capital finance in India in the current era.

(2 × 10 = 20 marks)

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(Pages : 2)

Name.....

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**SIXTH SEMESTER U.G. DEGREE EXAMINATION, MARCH 2022**

(CBCSS—UG)

B.B.A.

BBA 6B 15—FINANCIAL SERVICES (FINANCE ELECTIVE)

(2019 Admissions)

Time : Two Hours and a Half

Maximum : 80 Marks

**Section A***Answer at least ten questions.**Each question carries 3 marks.**All questions can be attended.**Overall Ceiling 30.*

1. What do you mean by a pension fund ?
2. What is private equity ?
3. What do you mean by depository services ?
4. What is meant by lease financing ?
5. What do you mean by open-ended and close-ended funds ?
6. What is meant by crowd funding ?
7. Write down any four features of venture capital.
8. What do you mean by capital market ?
9. What is meant by angel investing ?
10. What do you mean by factoring ?
11. What do you mean by merchant banking ?
12. Who are the parties to a contract of lease financing ?
13. Who is a stock broker ?
14. What is meant by loan syndication ?
15. Expand SEBI and ETF.

(10 × 3 = 30 marks)

**Turn over**

**Section C**

*Answer at least **five** questions.*

*Each question carries 6 marks.*

*All questions can be attended.*

*Overall Ceiling 30.*

16. List out the various features of financial services.
17. Explain briefly any *five* fund-based financial services.
18. Explain the pre-and post-issue management services offered by the merchant banks.
19. List out the various advantages and limitations of factoring.
20. What are the various advantages of credit rating ?
21. How Merchant banks are different from commercial banks ?
22. List out the benefits of venture capital.
23. What are the various advantages and limitations of lease financing ?

(5 × 6 = 30 marks)

**Section C**

*Answer any **two** questions.*

*Each question carries 10 marks.*

24. What do you mean by financial services ? Also, explain the functions and importance of financial services.
25. What do you mean by credit rating ? Explain the various credit rating agencies in India.
26. What do you mean by Mutual funds ? List out the various merits and demerits of mutual funds.
27. What do you mean by forfaiting? List out its features. How is it different from factoring ?

(2 × 10 = 20 marks)